# Acoustic discriminability of the complex phonation system in !Xóõ

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## Abstract

Phonation types, or contrastive voice qualities, are minimally produced using complex movements of the vocal folds, but may additionally involve constriction in the supraglottal and pharyngeal cavities. These complex articulations in turn produce a multidimensional acoustic output that can be modeled in various ways. In this study, I investigate whether the psychoacoustic model of voice by Kreiman et al. (2014) succeeds at distinguishing six phonation types of !Xóõ. Linear discriminant analysis is performed using parameters from the model averaged over the entire vowel as well as for the first and final halves of the vowel. The results indicate very high classification accuracy for all phonation types. Measures averaged over the vowel's entire duration are closely correlated with the discriminant functions, suggesting that they are sufficient for distinguishing even dynamic phonation types. Measures from all classes of parameters are correlated with the linear discriminant functions; in particular, the 'strident' vowels, which are harsh in quality, are characterized by their noise, changes in spectral tilt, decrease in voicing amplitude and frequency, and raising of the first formant. Despite the large number of contrasts and the time-varying characteristics of many of the phonation types, the phonation contrasts in !Xóõ remain well differentiated acoustically.

### 1.0 Introduction

The number of acoustic studies of contrastive voice quality has increased rapidly in the last fifteen years. This has allowed for a clearer understanding both of how to measure changes in voice quality acoustically, and of how different languages make use of a multidimensional acoustic space for realizing phonation contrasts. For example, the measure H1–H2 (Bickley, 1982), the difference in amplitude of the first and second harmonics, has been shown to differentiate the three most common contrastive phonation types found across languages: modal voice, breathy voice qualities (including 'lax' or 'slack' voice), and creaky voice qualities (including those called 'laryngealized,' 'glottalized,' or 'tense') voice (Blankenship, 2002; Thurgood, 2004; Miller, 2007; DiCanio, 2009; Brunelle & Finkeldey, 2011; Garellek & Keating, 2011; Kuang, 2011; Garellek, 2012; Esposito, 2012; Khan, 2012; Berkson, 2013; DiCanio, 2014; Abramson et al., 2015; Misnadin et al., 2015).

But because of the acoustic multidimensionality of voice quality (which itself is derived in large part from the complex nature of vocal fold vibrations), there are many different acoustic measures that the researcher may choose to investigate when describing phonation types. Which measures should the researcher include? The first goal of this paper addresses this question by investigating the complex phonation system of East !Xóõ (Taa), a Tuu language spoken in Botswana. This language has perhaps the highest number of contrastive phonation types among languages of the world, with four basic phonation types that can be coproduced to form six (and possibly up to eight) phonation type contrasts (Traill, 1985, 1994b). Given the complexity of the phonation contrasts in !Xóõ, one can assume that an acoustic analysis of the phonation system in the language will require either an unusually large number of measures, or measures that incorporate temporal changes, to differentiate the phonation types.

Answering the question of which measures to include in an analysis of phonation is of course important for methodological reasons: using the same set of measures across languages and research groups facilitates cross-study comparisons; it also avoids investigating measures which are less likely to be perceptible (such as 'jitter' and 'shimmer'; see Kreiman & Gerratt, 2005) and focusing on a particular measure simply because a statistical difference between two phonation types is found.

The question of 'which measures to include' also relates to a theoretical one: how can we model voice quality? To answer this, Kreiman et al. (2014) propose a psychoacoustic model of the voice, illustrated in Table 1. The model assumes that voice quality changes can be derived from manipulations of both the vocal folds and supraglottal structures. It includes only acoustic parameters that are both necessary and sufficient to distinguish any perceivable change in voice quality, but these parameters should also link back to physiological changes in the vocal folds or vocal tract. For instance, the spectral slope parameter H1–H2 is related physiologically to how open the vocal folds are when they vibrate, as well as their medial thickness (Kreiman et al., 2008; Samlan et al., 2013; Zhang, 2016). Harmonics-to-noise ratio (HNR), which in more recent versions of the model is measured in multiple frequency bands (Kreiman et al., 2016), relates to the presence of aspiration noise from a more open glottis, as well as irregular voicing (Zhang et al., 2013; Keating et al., 2015; Zhang, 2016). In addition, prior work has shown that listeners are sensitive to both H1–H2 and HNR (Kreiman & Gerratt, 2010; Kreiman et al., 2010; Kreiman & Gerratt, 2012; Garellek et al., 2013, 2016).

Still, there has yet to be an investigation of whether (and how well) the entire model by Kreiman et al. (2014) succeeds at distinguishing a given language's phonation types. In this paper, I use lin-

Table 1. Components of the psychoacoustic model of voice quality, their associated parameters and their articulatory correlates (Kreiman et al., 2014; Garellek et al., 2016; Zhang, 2016; Garellek, to appear).

Model component	Parameters	Some articulatory correlates
Harmonic source	H1–H2 and spectral tilt	Medial vocal fold thickness
spectral slope (or 'tilt')	in 3 other bands (described below)	Glottal width
Inharmonic source noise	Harmonics-to-noise ratio (HNR)	Glottal width, irregular voicing
Time-varying	F0 track	Rate of voicing
source characteristics	Amplitude track	Intensity of voicing
Vocal tract	Formant frequencies and bandwidths	Vocal tract configuration
transfer function	Spectral zeroes and bandwidths	

ear discriminant analysis, a statistical classification tool, to determine how accurately six phonation types of !Xóõ can be classified using measures based on the parameters in the psychoacoustic model. Assuming that the model by Kreiman et al. (2014) includes parameters that are sufficient to describe any perceptible change in voice quality, it should therefore be able to successfully differentiate many phonation types from a very complex system like the kind found in !Xóõ.

A secondary goal of this study is to characterize the acoustics of relatively understudied phonation types. Researchers investigating the acoustic differences across phonation types have shown that spectral tilt and noise measures are successful at distinguishing breathy, modal, and creaky phonation types, which minimally involve engagement of the vocal folds (Gordon & Ladefoged, 2001; Garellek & Keating, 2011; Keating et al., 2011). Yet languages can contrast other phonation types, notably vowels with a harsh quality, which can show engagement of the ventricular folds, the aryepiglottic folds, tongue retraction, pharyngeal narrowing, in addition to irregular vocal fold vibration (Edmondson et al., 2001; Edmondson & Esling, 2006; Miller, 2007; Moisik & Esling, 2011; Moisik et al., 2014). Indeed, !Xóõ has 'pharyngealized' vowels, which are phonetically both pharyngealized and creaky. It also 'strident' vowels, which are characterized by their harsh quality (Ladefoged, 1983; Traill, 1985; Ladefoged & Maddieson, 1996; Naumann, 2016).

The acoustic characteristics of the pharyngealized and harsh 'strident' vowels in !Xóõ are still unclear. Based mostly on visual inspection of spectrograms, pharyngealized vowels have been characterized as having irregular voicing (and even sustained vocal fold closure), raising of F1 and F2, lowering of F3, a diminution of energy around 400–700 Hz (Traill, 1985; Ladefoged & Maddieson, 1996). Based on spectrograms of words produced by the author himself, Traill (1986)

shows that the harsh vowels (i.e., those with the 'sphincteric' mechanism in its most constricted state) can be produced by a sequence of weak breathy voicing followed by voiceless noise and low-frequency pulsing. And investigating the spectrograms from recordings of four speakers saying one word, Ladefoged & Maddieson (1996, pp. 310-313) describe the 'strident' vowels as being irregular and noisy, with a particularly raised second formant (relative to the pharyngealized vowels) but lowered F3.

Similar phonation types in other Khoisan languages have been more thoroughly studied. Like creaky voice, 'epiglottalized' or 'pressed' voice in Ju|'hoansi has lower H1–H2 and higher noise relative to modal voice (Miller, 2007), as well as F1 raising, higher-formant lowering, a creaky 'interrupted' (i.e., rearticulated) quality and longer duration (Snyman, 1977a,b). We might therefore expect pharyngealized and 'strident' vowels in !Xóõ to share these attributes. But articulatory configurations associated with harsh voice qualities – mainly constriction of the ventricular folds and epilaryngeal tube, and aryepiglottic trilling – have shown other acoustic attributes, including higher H1–H2, a decrease in noise (in the case of aryepiglottic constriction with no trilling), and formant raising (Laver, 1994; Ladefoged & Maddieson, 1996; Gordon & Ladefoged, 2001; Samlan & Kreiman, 2014). Overall then, it remains unclear how the pharyngealized and 'strident' vowels in !Xóõ are realized acoustically.

#### 1.1 Phonation types and other suprasegmental contrasts of !Xóõ

!Xóõ (also spelled !Xoon) is a Tuu language with about 4000 speakers in Botswana and Namibia (Vossen, 2013; Bradfield, 2014). The Tuu language family has in the past been classified as Southern South African Khoisan (Greenberg, 1963), whose status is controversial (Honken, 2013; Güldemann, 2014; Naumann, 2014). Researchers increasingly refer to the language as Taa, which is used by native speakers (Traill, 1985) and which follows established naming conventions (e.g. those by Haspelmath (2017)). Here I follow earlier work in referring to the language as !Xóõ (Traill, 1985, 1991, 1994a,b; Bradfield, 2014; Güldemann, 2014; Naumann, 2014). The main varieties of the language are West !Xóõ and East !Xóõ (Traill, 1985, p. 10; Naumann, 2011); most research on East !Xóõ, the variety studied here, comes from work by Anthony Traill (e.g., Traill, 1985, 1986, 1991, 1994b; Traill & Vossen, 1997).

Although famous for its consonantal complexity, especially with regards to clicks (Ladefoged & Traill, 1984; Traill, 1985, 1994a,b; Bradfield, 2014; Güldemann, 2016; Naumann, 2016), !Xóõ also shows great suprasegmental complexity on vowels. The language contrasts five vowels /i, e, a, o, u/, all of which except for /i/ that can be either oral and nasal. Traill (1985, 1991, 1994b) analyzes the East !Xóõ dialect as having four tones (high-falling, mid-falling, mid-level, and low-falling), which are only assigned to the root. The four tones are reanalyzed as four level tones by Miller-Ockhuizen (1998), but there are also suggestions that they could be reduced to bimoraic sequences of H vs. L tones (Traill 1985, p. 50; Elderkin 1989, pp. 248–252; Naumann 2008).

Vowels in the language distinguish four main phonation types: modal, breathy ('murmured'), creaky ('laryngealized, glottalized'), and pharyngealized ('pressed') (Ladefoged, 1983; Traill, 1985, 1986, 1994b).<sup>1</sup> Although the breathy, creaky, and pharyngealized phonation types have been called various other names in previous work, I choose to use these terms in keeping with current research on voice quality and phonation types (more on this regarding the harsh 'strident' phonation type below).

Modal, breathy, and creaky phonation types are found on all five vowels; pharyngealized voice is only contrastive on non-front vowels /a, o, u/ (see also Table 2). Traill (1985) describes the licensing of phonation types in terms of moras, where non-modal phonation types can only appear on the initial mora. It is perhaps simpler to describe where non-modal phonation can occur in terms of initial vs. non-initial vowels, obviating the need to appeal to additional prosodic structure: lexical items in !Xóõ can be of form CVV (with identical or non-identical vowels), CVC, or CVCV. Non-modal phonation can only occur on the initial vowel, even in monosyllabic words with identical vowels (or a bimoraic vowel). Thus, in a word like /qâ<sup>ç</sup>a/ 'long ago', only the initial vowel is pharyngealized; the second one is modal.

Pharyngealization is typically considered a secondary articulation, rather than a phonation type per se; however, in !Xóõ it functions phonologically as a phonation type, and moreover often involves irregular voicing (Traill, 1985, p. 75), in addition to tongue retraction and lowering (Traill, 1985; Hess, 1998; see also spectrograms and X-ray tracings in Ladefoged & Maddieson 1996, p. 309). It is well known that so-called 'pharyngealized' vowels in many languages show addi-

<sup>&</sup>lt;sup>1</sup>Sample modal, breathy, and pharyngealized vowels, as well as harsh vowels, can be found on the website for Ladefoged 2005: http://www.phonetics.ucla.edu/vowels/chapter14/\_xoo.html.

tional constrictive effects of the vocal folds; see Catford, 1983; McCarthy, 1994; Esling, 1996; Hess, 1998; Zawaydeh, 1999; Esling, 1999; Moisik & Esling, 2011; Moisik, 2013. Such 'pharyngealized' vowels might today best be described as 'epilaryngeal' (Moisik & Esling, 2011) following the Laryngeal Articulator Model (Esling, 2005; Edmondson & Esling, 2006). They have constriction of the epilaryngeal tube, which entails laryngeal raising and tongue retraction, in addition to non-modal voice quality such as creaky voice.

Like pharyngealization, nasalization is often treated in the Khoisan literature as a phonation type. However, nasalized vowels are excluded in the present study because, unlike pharyngealization, nasalization does not involve a specific laryngeal articulation. Thus, it can be considered a separate phonetic dimension from the other phonation types. Moreover, unlike the other phonation types, which can occur only on initial vowels, nasalization is regarded as a property of the second vowel: initial vowels are nasalized only if the second vowel is nasalized, regardless of stem structure. This suggests that initial vowels are nasalized by leftward spreading (Traill, 1985, pp. 88–89).

Although the phonation types are contrastive on initial vowels, they nonetheless differ in terms of their phasing. Breathy vowels are breathy throughout their duration. On the other hand, both creaky and pharyngealized vowels tend to be creakiest between the middle and end of the initial vowel, giving them a rearticulated quality. (The same is attested for Ju|'hoansi; Snyman (1977a) calls these vowels 'interrupted' and 'juxtaposed'.) Creaky vowels can also end with sustained vocal fold closure – that is, a glottal stop [?], without an 'echo' vowel associated with rearticulation. An example of a creaky vowel with sustained closure is shown in the rightmost example of Figure 1. I choose to represent creaky vowels with a superscript glottal stop (e.g. [a<sup>2</sup>]) because the International Phonetic Alphabet (IPA)'s 'creaky' diacritic is often used to represent pharyngealization in !Xóõ (Traill, 1985). The pharyngealized quality in pharyngealized vowels is usually strongest in the middle of the initial vowel (Traill, 1985). Figure 2 illustrates the variation in (epi-)glottal realization seen on pharyngealized vowels. Although phonologically pharyngealized vowels can also be phonetically creaky, there exist acoustic differences between them and phonologically creaky vowels, as will be seen in this study.

According to Traill, the four main phonation types can also be combined with one another, yielding vowels that are breathy-creaky, pharyngealized-creaky, and breathy-pharyngealized ('stri-



Figure 1. Sample waveforms and spectrograms of two instances of  $/ {}^{g}|a^{2}je/$  'bend' from two speakers in the dataset from this study. Vertical axes show up to 5000 Hz. The first vowel is phonologically creaky in both cases, yet can be realized phonetically with only creaky voice (left), or with both creaky voice and sustained glottal closure (right).

dent'). Only /a, o, u/ are attested with breathy-creaky and breathy-pharyngealized phonation, whereas only /a, u/ are attested with pharyngealized-creaky phonation. And though not discussed explicitly in earlier work (e.g. in Traill 1985), Traill's dictionary includes at least eight words that have a combination of all three non-modal phonation types: breathy-pharyngealized-creaky words with /a/ and /o/ (Traill, 1994b).<sup>2</sup> There are additional phonotactic restrictions that determine where non-modal phonation types occur; see Traill (1985), Miller (2010), and Güldemann (2013). Combinations of phonation types are also only found on initial vowels.

<sup>&</sup>lt;sup>2</sup>Words with breathy-pharyngealized-creaky vowels include the following (using the orthography in Traill 1994b):  $|q\hat{a}h'le$  'phalanges of an ungulate' (p. 61);  $!g\hat{a}h'm$  'erythema' (p. 80);  $!g\bar{a}h'u$ -ka 'juvenile Bushveld lizard' (p. 81);  $|m\hat{a}h'pa$  'turn over' (p. 124);  $\pm \bar{a}h'a$  'restrain' (p. 130);  $t\hat{a}h'a$  'young of [...] ostrich' (p. 155);  $ts\bar{a}h'li$  'split moist [...] pods' (variant of pharyngealized-creaky  $ts\bar{a}'li$ ; p. 162);  $dz\bar{a}h'nu$  'Fork-marked sand snake' (p. 164). Only some of these words could plausibly be considered breathy-pharyngealized vowels followed by a glottal stop; see also similar discussion of breathy-creaky vowels by Naumann (2016).



Figure 2. Sample waveforms and spectrograms of three instances of /tá<sup>Si</sup>/ 'far' from three speakers in the dataset from this study. Vertical axes show up to 5000 Hz. The first vowel is phonologically pharyngealized in each case, and shows phonetic signs of pharyngealization (convergence of F1 and F2, lowering of F3). But phonologically pharyngealized vowels can also be realized phonetically with creaky voice (sudden drop in intensity and F0) in the middle of the vowel (left), little visible creaky voice (middle), and sustained glottal closure associated with either a pharyngealized glottal stop ([?<sup>S</sup>]) or an epiglottal stop ([?], which necessarily involves glottal closure; Esling et al., 2005) (right).

Breathy-creaky and pharyngealized-creaky phonation types are described as having their creaky voice located at the very end of the vowel; the 'strident' breathy-pharyngealized vowels are characterized by their harsh voice, with a rough, growling quality. According to X-ray and fiberscopic data, these vowels are produced with a lowered tongue body, forward movement of the posterior pharyngeal wall, laryngeal raising, extreme constriction in the upper part of the larynx, a posterior gap between the vocal folds (Traill, 1985, 1986; Hess, 1998; see also spectrograms and X-ray tracings from native speakers and Tony Traill in Ladefoged & Maddieson 1996, pp. 311–312). Additionally, there appears to be what Traill (1985, 1986) calls vibration of the arytenoid cartilages

a <sup>ç</sup>	a <sup>ç</sup>	



Figure 3. Sample waveforms and spectrograms of four instances of  $/^{2\eta} ! a^{\varsigma} o/$  'base' from four speakers in the dataset from this study. Vertical axes show up to 5000 Hz. The first vowel is phonologically breathypharyngealized (harsh) in each token, yet can also be realized phonetically with breathy voice and pharyngealization in the middle of the vowel (top left), voiceless breath and pharyngealization (top right), breathy voice followed by creaky voice and pharyngealization (bottom left), and even sustained glottal closure (bottom right).

and/or of the epiglottis.<sup>3</sup> Traill (1985, pp. 84-86) states that the strident vowels may be considered breathy-pharyngealized from a phonological viewpoint, mainly due to analytic parsimony, but also because they share some phonetic features with both pharyngealized vowels (notably pharyngeal constriction and tongue lowering) and breathy ones (including higher airflow and noise). Still, their articulation is so unlike both breathy and pharyngealized vowels in other ways that Traill laters claims that the articulatory mechanism is distinct phonetically from both pharyngealized and breathy vowels (Traill, 1986). He calls this articulatory mechanism 'sphincteric', which is consistent with laryngeal constriction and trilling of the aryepiglottic folds (Moisik & Esling, 2011). From this point onward, I choose to call vowels exhibiting this type of phonation 'harsh', rather than 'strident' or by their phonological status as 'breathy-pharyngealized', because 'harsh' is now commonly used to describe such voice quality in a variety of languages (Edmondson et al., 2001; Gerratt & Kreiman, 2001; Edmondson & Esling, 2006; Moisik & Esling, 2011; Moisik, 2013; Moisik et al., 2014). I also represent harsh voice using a superscript voiced epiglottal trill, e.g.  $[a^{s}]$ .<sup>4</sup>

The harshest quality of harsh vowels generally appears in the middle of the vowel's duration, and can be realized with a combination of breathy voice, voiceless breath (i.e., voiceless aspiration noise), irregular creaky voicing, and sustained glottal closure in addition to pharyngealization (see Figure 3). We will not analyze vowels that are pharyngealized-creaky or breathy-pharyngealized-creaky (harsh-creaky); the latter is unattested in the corpus, and the former is found on only one lexical item, which was not recorded for all speakers. It remains unclear then how pharyngealized and harsh vowels – which often shown creaky voice – differ systematically from pharyngealized-creaky or harsh-creaky ones. Sample spectrograms comparing pharyngealized and pharyngealized creaky words are shown online in Supplementary Materials.<sup>5</sup>

<sup>&</sup>lt;sup>3</sup>Most likely, it is the aryepiglottic folds that are vibrating, given that these bodies connect the arytenoids to the epiglottis.

<sup>&</sup>lt;sup>4</sup>Traill (1986, p. 129) states that the 'sphincteric' phonation responsible for the quality of these vowels differs specifically from Laver (1980)'s view of 'harsh voice,' which involves vibration of the ventricular folds. However, Traill (1985, p. 80) stated earlier that ventricular fold vibration 'probably' occurs with strident vowels. And as J. Esling (p.c.) notes, both views are consistent with how the laryngeal articulator performs as a sphincter, compacting postero-anteriorly and vertically to compress the ventricular folds in harsh voice and engaging vibration of the aryepiglottic folds in a more constricted state, as is the case with 'sphincteric' phonation in !Xóõ (Esling, 2005).

<sup>&</sup>lt;sup>5</sup>Supplementary Materials can be found at http://idiom.ucsd.edu/~mgarellek/files/ SupplementaryFiles\_!Xoo.html.

A summary of attested phonation types and their combinations is shown in Table 2. Waveforms and spectrograms of the six phonation types to be analyzed acoustically are shown in Figure 4. It is also worth mentioning that the suprasegmental contrasts in West !Xóõ are simpler than the ones described here for East !Xóõ: West !Xóõ has been analyzed as having only two tones, high and low (Güldemann, 2013; Naumann, 2016). Nasalization is contrastive on /i, a, u/ only. There are five phonation types: modal, tense/glottalized, breathy, pharyngealized, and strident, which correspond to those in East !Xóõ; however, non-modal phonation types can only occur on back vowels, and there are no combinations of breathy or pharyngealized voice with creaky voice (Naumann, 2016).





(c) Creaky [g|à<sup>?</sup>je] 'bend'



(e) Pharyngealized [qâ<sup>s</sup>a] 'long ago'



(b) Breathy [!āo] 'slope'



(d) Breathy-creaky [|à?je] 'wait for him'





Figure 4. Sample spectrograms of the six phonation types analyzed in this study. Samples are from the same speaker. Vertical axes show up to 5000 Hz.

Table 2. Attested phonation types in East !Xóõ and their combinations, based on Traill (1985). Note that Traill (1994b) also includes words with harsh-creaky  $/a^{\varsigma?}$ ,  $o^{\varsigma?}/$ . The six phonation types analyzed with vowel /a/ in this study are bolded and in squares. Nasalization can combine with the other phonation types, but it is considered a property of the second mora that spreads leftward on to the initial mora (Traill, 1985, pp. 88–89).

$\begin{array}{c} \text{Combination} \rightarrow \\ \text{Base phonation} \downarrow \end{array}$			(none	e)			creaky		pha	aryngeali (harsh)	
Breathy	i	e eẽ	<b>a</b> aã	0 0 0	u uũ	$\frac{\mathbf{a}^{\mathbf{i}}}{\mathbf{a}^{\mathbf{i}}\mathbf{a}}$	ö <sub>s</sub> o ö <sub>s</sub> o	<u>u</u> ?	a <sup>°</sup> a <sup>°</sup> ã	$\mathbf{O}_{\mathbf{f}}$	u <sup>ş</sup>
Modal	i	e ẽ	a ã	0 Õ	u ũ						
Creaky	i?	$e^{2}$ $e^{2}$	$\frac{a^{?}}{a^{?}\tilde{a}}$	o² o²õ	u <sup>?</sup> u <sup>?</sup> ũ						
Pharyngealized			a <sup>s</sup> a <sup>s</sup> ã	o <sup>r</sup> o <sup>r</sup> õ	u <sup>r</sup> u <sup>r</sup> ũ	a <sup>s?</sup>		ñ <sub>25</sub>			

## 2.0 Language materials

## 2.1 Recordings

The sample words come from three field recordings from Lokalane, in southwestern Botswana. The recordings were made by Peter Ladefoged and Tony Traill in July and August 1979. In the first recording, words without a carrier sentence were spoken by ten male speakers. The second recording follows the same procedure with a subset of the wordlist used in the first recording, but includes two different male speakers. The third recording has the same speakers as the first recording and follows the same procedure, but the speakers said a subset of the words from the first recording, and in a different order. Although some of these recordings were used to outline some of the characteristics of harsh voice which were later discussed in Ladefoged & Maddieson, 1996 (and further in Gordon & Ladefoged, 2001), the recordings have yet to be systematically analyzed acoustically. The three analog recordings were digitized at a sampling rate of 44.1 kHz and with 16-bit depth, and are available on the website of the UCLA Phonetics Lab Archive at http://archive.phonetics.ucla.edu/Language/NMN/nmn.html.

## 2.2 Sample words

The sample words chosen for this study all had /a/ as their initial vowel; phonation contrasts are limited to the first vowel. Only /a/ was chosen because of its greater proportion in the wordlists.

The sample words could have any of the four lexical tones. Figure 4 illustrates a sample of the !Xóõ words chosen (the complete wordlist is listed in the Appendix and online as Supplementary Materials). Table 3 shows the number of words by phonation type and tone. The six phonation types are all found on words with low tones, whereas the other tones are found on only a subset of the phonation types. No phonation type is attested with all four tones (the same is true if one assumes a two-tone analysis, where high and falling tones are H and mid and low tones are L). The onsets are also unbalanced; for example, uvular onsets are attested mostly with pharyngealized and harsh vowels, and Miller (2010) considers the [9! $\chi$ ] onset (found here on the word for 'udder' with a creaky vowel) to be a guttural, which could affect the creaky voice on the following vowel. Differences by lexical item for each acoustic measure are shown in Supplementary Materials; however, since the number of lexical items per phonation type is very small, it is impossible in this study to determine whether any differences by lexical item are due to onset effects or lexical idiosyncrasies.

	Breathy	Modal	Creaky	Pharyngealized	Breathy-creaky	Harsh
High-toned		2		1		
Mid-toned	2				2	
Low-toned	1	1	1	1	1	3
Falling-toned			1	1		

Table 3. Structure of word list, illustrating the number of lexical items by phonation and tone.

Tokens with audible background noise were excluded. All words with aspirated, post-glottalized or ejective clicks preceding the target vowel were excluded in the analysis to reduce the effect of a consonant's laryngeal setting on the target vowel's voice quality. Two lexical items transcribed as having creaky vowels were excluded because of questions regarding the accuracy of the transcription; see Supplementary Materials for more detail.

A total of 17 words were sampled across all speakers; three lexical items for each of the six phonation types, with the exception of creaky voice, which had two lexical items. Because three different recordings were used, the number of words that were uttered varied across speakers; of the 17 words, two were recorded by only ten speakers, the remaining 15 words were recorded by all speakers. In a few cases (e.g., if a speaker repeated the same word), multiple tokens of a word were analyzed, for a total of 369 tokens of the 17 words.

#### 2.3 Acoustic measures

The vowel portion of each target word was labeled in Praat (Boersma & Weenink, 2015); the vowel onset was set to the second glottal pulse following the onset (to avoid high-frequency noise due to noisy click releases), and the vowel offset was set to the last glottal pulse before the drop in amplitude of the following consonant. For following consonants that were not characterized as having a sudden drop in amplitude (notably [j]), the start of the F2 change towards the consonantal target was chosen as the vowel offset. VoiceSauce (Shue et al., 2011) was used to obtain a variety of acoustic measures over the vocalic interval. The acoustic measures were calculated every millisecond, and for the analysis we use mean measures (over the entire interval) as well as changes in measures between the first and second halves of the vowel. We included these 'delta' measures because several of the phonation types are highly dynamic. A complete list of the acoustic measures is shown in Table 4. Four spectral tilt measures were included, following Kreiman et al. (2014) and Garellek et al. (2016). These measures are typically lowest during constricted voice qualities like creaky voice and highest during breathy voice (Gordon & Ladefoged, 2001; Garellek & Keating, 2011; Garellek et al., 2013; Kuang, 2013b, 2017). Four noise measures (in different frequency bands) were also included. Voice qualities characterized by increased noise (either from aspiration or from irregular voice quality), such as breathy, creaky, and harsh voice, typically have lower HNR values than periodic voice qualities like modal voice (Blankenship, 2002; Miller, 2007; Garellek, 2012).

Also included were the first four formants' frequencies and bandwidths. Breathy voice sometimes shows a lower F1 frequency and higher F1 bandwidth than modal/creaky voice (Gordon & Ladefoged, 2001; Hanson et al., 2001; Garellek & Keating, 2011). Pharyngealized and epiglottalized vowels often show increased formant frequencies, particularly for F1 (Alwan, 1989; Laver, 1994; McCarthy, 1994; Miller-Ockhuizen, 2003; Jongman et al., 2007; Moisik, 2013).

Finally, we also included fundamental frequency (F0, in Hz) and strength of excitation (SoE). SoE measures the relative amplitude of impulse-like excitation during voicing, and thus represents the amplitude of voicing independent of the amplitude of noise in the signal (Murty & Yegnanarayana, 2008; Mittal et al., 2014). The greater the constriction in the larynx or vocal tract, the lower the SoE, assuming increased constriction leads to weaker voicing (Mittal et al., 2014; Risdal et al., 2016).

Table 4. Measures in VoiceSauce that relate to psychoacoustic model of the voice (Kreiman et al., 2014). The analysis includes both mean measures (over the entire vowel) and delta measures between the first and second half of the vowel. Measures with asterisks are corrected for vowel formants, following Iseli et al. (2007).

Measure	Explanation				
Spectral slope measure	ures (dB):				
Higher values assoc	iated with increased vocal fold spreading				
H1*-H2*	Difference in amplitude between the first and second harmonics				
H2*–H4*	Difference in amplitude between the second and fourth harmonics				
H4*–H2* kHz	Difference in amplitude between the fourth harmonic				
	and the harmonic nearest 2000 Hz				
H2* kHz–H5* kHz	Difference in amplitude between the harmonic nearest 2000 Hz				
	and the harmonic nearest 5000 Hz				
Harmonics-to-noise	ratio (HNR) measures (dB):				
Higher values assoc	iated with decreased noise (aspiration noise and/or irregular voicing)				
HNR05	HNR < 500 Hz				
HNR15	HNR < 1500 Hz				
HNR25	HNR < 2500 Hz				
HNR35	HNR < 3500 Hz				
Formants and band	width measures (Hz):				
F1, F2, F3, & F4	First through fourth formants				
	calculated using Snack (Sjölander, 2004)				
B1, B2, B3, & B4	First through fourth bandwidths				
	calculated using Snack (Sjölander, 2004)				
Other measures:					
F0	Fundamental frequency (Hz)				
	calculated using STRAIGHT (Kawahara et al., 1998)				
SoE	Strength of glottal excitation				
	(amplitude of voicing, regardless of noise)				
	calculated following Murty & Yegnanarayana (2008)				

Because the accuracy of the spectral tilt measures depends on correct F0 and formant estimation, several steps were taken to identify mistracked tokens. Six tokens were excluded because their mean F0 was greater than 2.5 standard deviations from the speaker's average. An additional 23 tokens were excluded because their F1 and/or F2 values were judged to be mistracked via visual inspection. These exclusions resulted in a reduced dataset of 340 tokens.

## 3.0 Results

#### 3.1 Linear discriminant analysis

A Linear Discriminant Analysis (LDA) was conducted in R (R Core Team, 2014)<sup>6</sup> to determine which measures are most important for distinguishing the six phonation types in the dataset. All the acoustic parameters listed in Table 4 were included. For each acoustic parameter, three measures were included: the average over the entire vowel, the change in the parameter from the beginning to the middle of the vowel, and the change in the parameter from the middle of the vowel to the vowel's end. These 'delta' measures were included because several phonation types are known to involve dynamic changes (Traill, 1985). All measures were standardized by speaker.

Given that there are six phonation types, the LDA produced five functions. The first discriminant function (LD1) accounted for 34.0% of the explained variance; the second (LD2) accounted for 31.6%; the third accounted for 23.2%. The remaining two LDs contributed 7.3% and 3.9%, respectively. These last two functions will not be discussed further, because they contributed relatively little to the analysis. The confusion matrix from the LDA is shown in Table 5; overall accuracy of the model's classification was very high, at 92.7%. (The category occurring most frequently in the dataset was harsh voice, with 25.3% prevalence.) Breathy vowels were the most accurately discriminated (98.7%), whereas creaky vowels were the least accurately discriminated (79.3%). Breathy vowels are most confusable with modal ones (and vice versa); creaky vowels with breathy-creaky ones (and vice versa); pharyngealized vowels with creaky ones; and harsh vowels with breathy-creaky and pharyngealized ones.

$Actual \rightarrow$	Breathy	Modal	Creaky	Pharyngealized	Breathy-creaky	Harsh
$Predicted \downarrow$						
Breathy	78	2	0	0	1	2
Modal	1	45	0	0	0	0
Creaky	0	0	23	0	4	0
Pharyngealized	0	0	1	49	0	3
Breathy-creaky	0	0	5	0	42	3
Harsh	0	0	0	2	1	78

Table 5. Confusion matrix from the LDA analysis.

<sup>6</sup>The LDA was conducted using the *lda()* function available from the MASS package (Venables Ripley, 2002).

Table 6. Correlations between the first three discriminant functions and the acoustic measures. Only the three measures with the highest absolute correlations are shown. 'Beg' = change in parameter from beginning to middle of vowel; 'end' = change from middle to end of vowel.

LD1	LD2	LD3
SoE $(r = -0.85)$	F1 ( $r = 0.75$ )	H1*-H2* ( $r = -0.70$ )
$\Delta$ SoE (beg) ( $r = -0.69$ )	$\Delta$ F0 (end) ( $r = 0.57$ )	HNR < 500  Hz (r = 0.62)
HNR $< 500$ Hz ( $r = -0.46$ )	HNR < 3500 Hz ( $r = -0.51$ )	HNR $< 3500$ Hz ( $r = 0.63$ )

The mean predicted value of the first three discriminant functions was calculated for each phonation type, and the strongest correlations between the first three discriminant functions and the acoustic measures are shown in Table 6. Figure 5 shows confidence ellipses around the group means for LD1 and LD2 (top) and for LD1 and LD3 (bottom). The first discriminant function is used to separate breathy and modal vowels from all other categories, and is most strongly correlated with mean SoE, change in SoE during the first half of the vowel, and HNR < 500 Hz. The second discriminant function is used to separate harsh and pharyngealized vowels as distinct from breathy and modal vowels, which in turn are distinct from breathy-creaky and creaky vowels. LD2 is most strongly correlated with mean F1, change in F0 in the last half of the vowel, and pharyngealized vs. harsh vowels. LD3 correlates most strongly with mean H1\*–H2\*, HNR < 500 Hz, and HNR< 3500 Hz.

## 3.2 Acoustic measures contributing to the linear discriminant analysis

The LDA produced five discriminant functions, three of which contributed substantially to the discriminability of the five phonation types of !Xóõ. In this section, linear mixed-effects models were run on the average measures that were most strongly correlated with the first three discriminant functions, to determine whether specific phonation types differ statistically from each other on a particular measure.<sup>7</sup> The specific *p*-values (derived from the lmerTest package, which uses Satterthwaite approximations; Kuznetsova et al., 2015) and other model details can be found in the summary tables. Time courses of the relevant measures are also illustrated and discussed qualitatively. Additional time course figures for other measures, as well as for the measures below but separated according to lexical item, can be found in the Supplementary Materials.

<sup>&</sup>lt;sup>7</sup>Mixed-effects models were run in R using the *lmer()* function in the *lme4* package (Bates et al., 2014).



Figure 5. LD1-LD2 (top) and LD1-LD3 (bottom) spaces. The ellipses represent 75% confidence intervals around the mean of each group. Note that pharyngealized vs. harsh vowels, breathy-creaky vs. creaky vowels, as well as breathy vs. modal vowels, are poorly discriminated in the LD1-LD2 space, but are better discriminated by LD3.

Table 7. Results of the linear mixed-effects models for mean measures correlated with LD1. The five phonation types are all compared to a baseline phonation type (the intercept, indicated in italics). All models are of the structure  $DV \sim Phonation + (Phonation|Speaker) + (1|Item)$ .

	SoE			HNR <	500Hz	
	β	t	<i>p</i> -value	β	t	<i>p</i> -value
modal	0.07	16.47	< 0.001*	37.09	20.94	< 0.001*
В	0.00	0.23	> 0.05	-13.87	-7.34	< 0.001*
С	-0.03	-5.61	< 0.001*	-9.80	-4.86	< 0.001*
Р	-0.03	-5.60	< 0.001*	-6.15	-3.01	< 0.05*
BC	-0.03	-6.90	< 0.001*	-12.81	-4.98	< 0.001*
Н	-0.03	-5.53	< 0.001*	-22.59	-9.09	< 0.001*
breathy	0.07	14.57	< 0.001*	23.22	13.26	< 0.001*
Μ	-0.00	-0.23	> 0.05	13.87	7.34	< 0.001*
С	-0.03	-5.91	< 0.001*	4.06	1.75	> 0.05
Р	-0.03	-5.42	< 0.001*	7.71	3.25	< 0.01*
BC	-0.03	-7.36	< 0.001*	1.05	0.37	> 0.05
Н	-0.03	-5.87	< 0.001*	-8.72	-4.74	< 0.001*

The first discriminant function LD1 discriminates breathy and modal vowels from other phonation types. It is most strongly correlated with SoE (mean and change in first half) and HNR < 500 Hz. Recall that SoE measures the intensity of voicing, regardless of the presence of noise; it is thus lower when there is constriction at the glottis or in the vocal tract (Mittal et al., 2014). Linear mixed-effects models show that the mean SoE is significantly higher for modal voice than for all other phonation types, with the exception of breathy voice; see Table 7.

Breathy vowels in turn have significantly higher mean SoE than all other phonation types, except for modal vowels. These results are expected, since neither modal nor breathy voice has appreciable constriction of the vocal folds or in the vocal tract. Linear mixed-effects models also show that the mean HNR < 500 Hz is significantly higher for modal voice than for all other phonation types; see Table 7. This is expected, given that modal vowels should be more periodic and less noisy than vowels with non-modal phonation. Breathy vowels in turn have significantly higher mean HNR < 500 Hz than harsh vowels, but a lower HNR < 500 Hz than pharyngealized ones.

Time courses for SoE are illustrated in the top panel of Figure 6. The measure is highest for breathy and modal voice, as these are the least constricted phonation types. Harsh, pharyngealized, and creaky vowels show a sharp drop in SoE centered around the midpoint of the vowel, where the

Table 8. Results of the linear mixed-effects models for mean measures correlated with LD2. The five phonation types are all compared to a baseline phonation type (the intercept, indicated in italics). All models are of the structure  $DV \sim Phonation + (Phonation|Speaker) + (1|Item)$ .

	F1			HNR <	3500Hz	Z
	β	t	<i>p</i> -value	β	t	<i>p</i> -value
breathy-creaky	675.69	26.30	< 0.001*	32.18	16.41	< 0.001*
С	-75.14	-2.00	0.055	3.74	1.83	> 0.05
Н	170.55	5.49	< 0.001*	-12.75	-6.58	< 0.001*
Р	169.68	5.37	< 0.001*	-0.83	-0.47	> 0.05
Μ	17.13	0.50	> 0.05	6.08	2.62	< 0.05*
В	79.37	2.16	< 0.05*	-6.79	-2.90	< 0.01*
creaky	600.55	21.13	< 0.001*	35.92	16.76	< 0.001*
BC	75.14	2.00	0.056	-3.74	-1.83	> 0.05
Н	245.69	6.22	< 0.001*	-16.50	-6.83	< 0.001*
Р	244.82	6.49	< 0.001*	-4.58	-2.35	< 0.05*
Μ	92.26	2.56	< 0.05*	2.34	1.04	> 0.05
В	154.50	3.57	< 0.01*	-10.54	-4.65	< 0.001*

strongest constriction for these phonation types occurs. Breathy-creaky vowels, on the other hand, show a sharp drop in SoE by the end of the vowels' duration, where they are most constricted. Time courses for HNR < 500 Hz are shown in the top panel of Figure 7, and are discussed below with reference to the more broadband noise measure HNR < 3500 Hz.

The second discriminant function LD2 discriminates harsh and pharyngealized vowels from creaky and breathy-creaky ones. It is most strongly correlated with mean F1, as well as mean HNR < 3500 Hz and the change in F0 from the midpoint to the end of the vowel. Linear mixed-effects models show that breathy-creaky and creaky vowels have significantly lower values of mean F1 than harsh and pharyngealized vowels; see Table 8. The higher F1 for pharyngealized and harsh vowels in !Xóõ was previously discussed by Traill (1985), and is cross-linguistically common with pharyngealized vowels (Alwan, 1989; McCarthy, 1994; Miller-Ockhuizen, 2003; Jongman et al., 2007; Moisik, 2013; A1-Tamimi, 2017). The time courses for F1 are shown in the bottom panel of Figure 8. Harsh and pharyngealized vowels are characterized by a higher F1 overall compared with other phonation types. Creaky and breathy-creaky vowels show a drop in F1 by the end of the vowel, presumably because these categories had lexical items whose creaky and breathy-creaky vowels tended to be followed by [j].

The time courses for F0 are shown in the top panel of Figure 8. Harsh and pharyngealized vowels are characterized by an overall rise in F0 from the vowel midpoint onward. The depressive effect of pharyngealization and harshness on F0 is a known feature of these phonation types in !Xóõ (Traill, 1985). It should be noted that the distribution of lexical tones in the word list is unbalanced (see Table 3); moreover, some of the lexical items have different tone markings in the UCLA Phonetics Lab Archive compared to Traill's 1994 dictionary; and more problematically, there are some words whose F0 contours analyzed here suggest that their tone marking should be further revised. I highlight and elaborate on these issues in the Supplementary Materials. Nevertheless, the depressive effect of harsh and pharyngealized vowels on F0 is found regardless of the tone.

The third discriminant function LD3 largely helps discriminate the categories which were overlapping in the LD1-LD2 space (see Figure 5). The crucial comparisons are between harsh vs. pharyngealized voice, and between breathy vs. breathy-creaky voice; these two pairs of phonation types are well discriminated by LD3 but not by LD1 or LD2. The third discriminant function is most strongly correlated with mean H1\*–H2\*, mean HNR < 500 Hz, and HNR < 3500 Hz. Linear mixed-effects models show that, compared with modal vowels, breathy vowels have higher H1\*– H2\* (indexing lower vocal fold constriction) but lower HNR (both below 500 Hz and below 3500 Hz), presumably due to the increase in aspiration noise. On the other hand, compared with harsh vowels, pharyngealized vowels have lower H1\*–H2\* (indexing greater vocal fold constriction) but higher HNR (indexing more periodicity); see Table 9.

The time courses of H1\*–H2\* are shown in Figure 6. As expected, breathy vowels show the highest values of H1\*–H2\* overall. Breathy-creaky and harsh vowels also have high values of the measure in the first third, after which the measure decreases, presumably due to increased constriction. Pharyngealized and creaky vowels do not differ significantly along this measure (see Table 9), probably because pharyngealized vowels are also constricted, especially by the vowel midpoint.

The time courses for the two noise measures that are closely correlated with the linear discriminants, HNR < 500 Hz (correlated with LD1 and LD3) and HNR < 3500 Hz (correlated with LD2 and LD3), are shown in Figure 7. Both show low HNR values (i.e., high levels of noise) for harsh vowels, as well as for breathy vowels. Creaky vowels have lower HNR < 500 Hz than modal vowels, but do not differ from modal vowels in terms of HNR < 3500 Hz (see Tables 7



Figure 6. Time courses of SoE (top) and  $H1^*-H2^*$  (bottom) by phonation type. Error bars indicate bootstrapped 95% confidence intervals of the means. Average SoE and changes in SoE at the beginning and end of the vowel are strongly correlated with LD1;  $H1^*-H2^*$  is strongly correlated with LD3.

	H1*-F	H2*		HNR <	500Hz		HNR <	3500Hz	Z
	β	t	<i>p</i> -value	β	t	<i>p</i> -value	β	t	p-value
breathy	11.11	6.49	< 0.001*	23.22	13.26	< 0.001*	25.38	19.24	< 0.001*
С	-8.72	-7.83	< 0.001*	4.06	1.75	> 0.05	10.54	4.65	< 0.001*
BC	-6.11	-6.23	< 0.001*	1.05	0.37	> 0.05	6.79	2.90	< 0.01*
Н	-5.44	-5.30	< 0.001*	-8.72	-4.74	< 0.001*	-5.96	-3.09	< 0.01*
Р	-9.30	-10.10	< 0.001*	7.71	3.25	< 0.01*	5.95	2.73	< 0.05*
Μ	-6.79	-5.52	< 0.001*	13.87	7.34	< 0.001*	12.88	6.09	< 0.001*
pharyngealized	1.81	1.26	> 0.05	30.93	15.83	< 0.001*	31.34	18.13	< 0.001*
В	9.30	10.10	< 0.001*	-7.71	-3.25	< 0.01*	-5.95	-2.73	< 0.05*
С	0.57	0.80	> 0.05	-3.64	-1.63	> 0.05	4.58	2.35	< 0.05*
BC	3.18	3.66	< 0.01*	-6.65	-3.25	< 0.01*	0.83	0.47	> 0.05
Н	3.85	4.42	< 0.001*	-16.43	-6.72	< 0.001*	-11.91	-5.63	< 0.001*
Μ	2.50	2.92	< 0.05*	6.15	3.01	< 0.05*	6.92	3.37	< 0.01*

Table 9. Results of the linear mixed-effects models for mean measures correlated with LD3. The five phonation types are all compared to a baseline phonation type (the intercept, indicated in italics). All models are of the structure  $DV \sim Phonation + (Phonation|Speaker) + (1|Item)$ .

and 8). This indicates that creaky vowels are characterized by more low-frequency noise, as opposed to more broadband noise (similar results were found for English and Hmong in Garellek, 2012.) Interestingly, compared to creaky vowels, pharyngealized vowels have lower degrees of low-frequency noise (i.e., they have higher HNR < 500 Hz) but higher degrees of broadband noise (i.e., lower HNR < 3500 Hz). Thus, even though pharyngealized vowels are phonetically creaky (and are creaky at the midpoint, just like phonologically creaky vowels), it appears that phonologically creaky vowels and phonologically pharyngealized vowels differ in the way that their phonetic creakiness is realized acoustically. When laryngeal and pharyngeal constriction co-occur in !Xóő, as with pharyngealized vowels, more high-frequency noise is generated; on the other hand, when laryngeal constriction occurs on its own, as for the creaky vowels, that creaky quality is dominated by low-frequency noise components. This also implies that different types of creaky voice can be differentiated in Kreiman et al. (2014)'s voice model. Further, if the phonetic implementation of the creaky voice found in creaky vowels differs from that of the creaky voice found in pharyngealized vowels, this could help account for the very high classification accuracy for creaky vs. pharyngealized vowels, in addition to the inclusion of F1.



Figure 7. Time courses of HNR < 500 Hz (top, correlated with LD1 and LD3) and HNR < 3500 Hz (bottom, correlated with LD2 and LD3) by phonation type. Error bars indicate bootstrapped 95% confidence intervals of the means.



Figure 8. Time courses of F0 (top) and F1 (bottom) by phonation type. Error bars indicate bootstrapped 95% confidence intervals of the means. Both measures are associated with LD2, which differentiates pharyngealized and harsh vowels from other phonation types.

## 4.0 Discussion

The results of the discriminant analysis reveal that the complex phonation system of !Xóõ is well differentiated acoustically, using parameters in Kreiman et al. (2014)'s voice model. Average classification accuracy across the six phonation types that were analyzed was over 92%, well above chance. The phonation types are best distinguished by discriminant functions that are correlated in particular with harmonics-to-noise ratio (HNR) measures, low-frequency harmonic spectral slope (H1\*–H2\*), Strength of Excitation (SoE), F1, and F0. Thus, all four classes of parameters from the voice model in Kreiman et al. (2014) were important in the LDA (see Table 1): harmonic spectral slope (H1\*–H2\*), inharmonic noise (HNR), voicing frequency and amplitude (F0, SoE), and vocal tract parameters (F1) all interact to help discriminate the six phonation types studied here.

Interestingly, measures averaged over the entire vowel – rather than changes in a measure over intervals of the vowel – were most strongly correlated with the discriminant functions. This is in spite of two important facts regarding the phonation system of !Xóõ: its complexity (having eight contrastive phonation types, six of which were analyzed in this study) and the dynamic realization of several phonation types: only modal and breathy vowels show fairly stable acoustic realizations of their respective voice qualities. By contrast, harsh and pharyngealized vowels have their non-modal phonation phased with the middle of the vowel, and creaky vowels with the middle or end of the vowel. Breathy-creaky vowels are inherently dynamic, transitioning from a breathy-like quality to a creaky one. Thus, even if researchers perceive a highly variable realization of a particular phonation type, it is not necessarily the case that a temporal analysis is warranted to discriminate the contrasts statistically. But as is the case for !Xóõ as well as for any language, perception studies are needed to confirm that the measures which statistically help discriminate the phonation types are in fact the ones used by listeners to perceive the contrasts.

## 4.1 The acoustics of harsh voice associated with 'strident' vowels

Another goal of the paper was to provide a multidimensional description of the acoustics of harsh voice as it occurs for the breathy-pharyngealized 'strident' vowels in !Xóõ. Unlike the acoustics of breathy and creaky voice qualities, there has been relatively little work on how voice qualities with pharyngeal constriction and/or aryepiglottic trilling are realized acoustically (cf. Miller, 2007). Given the multidimensional articulatory attributes of harsh voice (which may include glot-

tal spreading leading to breathy voice and sometimes voicelessness, along with aryepiglottic constriction and trilling, as well as pharyngeal narrowing), we expected that it could be measured acoustically by means of spectral tilt, noise, and resonance measures. This is indeed the case in !Xóõ: relative to modal vowels, harsh vowels begin with a higher spectral tilt (measured here using H1\*-H2\*, see bottom panel of Figure 6), but end with a lower spectral tilt, with a similar trajectory of the measure as found for breathy-creaky vowels. Given that H1\*-H2\* is correlated with glottal opening (Kreiman et al., 2008; Samlan et al., 2013; Zhang, 2016), this implies that vocal fold spreading is utilized early in harsh vowels (Traill, 1985, 1986). It also helps differentiate harsh from pharyngealized vowels, which in the language show low spectral tilt throughout their duration. Harsh vowels are noisier than vowels of any other phonation type, according to the HNR measures that are strongly correlated with the first three linear discriminant functions. This is likely driven by the irregularity due to aryepiglottic trilling, in addition to the aspiration noise at the beginning of the vowel (Traill, 1985, 1986). The middle of harsh vowels, like pharyngealized, creaky, and breathy-creaky vowels, also shows a sharp decrease in voicing energy (as indexed by Strength of Excitation), consistent with strong constriction produced either at the vocal folds or in the epilarynx. Finally, harsh vowels (like pharyngealized ones) are higher in F1, which is consistent with epilaryngeal constriction and larynx raising (Traill, 1985; Laver, 1994; Ladefoged & Maddieson, 1996). Taken together, these results provide phonetic support for the phonation type's phonological analysis as breathy-pharyngealized (Traill, 1985, 1986). Yet it is still unclear if these effects are also found for other harsh vowels in  $!X\delta\tilde{o}$  – recall, only /a/ was investigated here.

## 4.2 Acoustic multidimensionality of phonation contrasts

Voice quality differences can be measured using only parameters relating to the 'voice source'the periodic energy derived from vocal fold vibration. In ongoing work (e.g., Keating et al. 2011, 2012), my colleagues and I have sought to characterize (using source measures like spectral tilt, as well as noise measures) the low-dimensional acoustic space for cross-linguistic uses of voice quality. Thus, in analyses of four (Keating et al., 2011) and subsequently 10 (Keating et al., 2012) languages with non-modal phonation, we excluded vocal tract filter measures like F1, though it is clear that formant frequencies and bandwidths are important correlates of phonation contrasts in at least two of the languages studied, Mazatec and Southern Yi (Garellek & Keating, 2011; Kuang, 2011; Kuang & Cui, 2016). It is of course worthwhile to determine what low-dimensional space is needed for characterizing the harmonic and inharmonic *source* characteristics of voice quality, but it is also important to bear in mind that *filter* characteristics play a role in both phonation and register contrasts (Brunelle, 2012; Abramson et al., 2015; Brunelle & Kirby, 2016). Certainly, the results of this study show that first formant frequency is a key parameter for distinguishing voice qualities that involve supraglottal sources of energy, like harsh and pharyngealized voice qualities in !Xóõ, from those with phonatory sources (modal, breathy, and creaky).

In the analysis of 10 languages with non-modal phonation (Keating et al., 2012), only spectral tilt measures (H1\*–H2\*, as well as higher-frequency tilt measures) were important for the low-dimensional space, as determined by multi-dimensional scaling; surprisingly, noise measures were not significant correlates of the dimensions, even though it is clear that noise can statistically differentiate the phonation types in the same languages (Garellek & Keating, 2011; Keating et al., 2011; Kuang, 2011; Esposito, 2012; Garellek, 2012; Khan, 2012; Kuang, 2013a,b). The results from this study suggest that, once !Xóõ is included in the cross-linguistic analysis, noise measures like HNR < 500 Hz and HNR < 3500 Hz should also emerge as relevant to the low-dimensional space of voice quality.

#### 4.3 Mapping of acoustic parameters to articulation

For researchers investigating the acoustic characteristics of voice quality differences, it is beneficial to make use of measures that relate back to parameters in the model by Kreiman et al. (2014). For one, this study shows that the parameters can be used to discriminate as many as six phonation types. More importantly, these measures are motivated a priori by a theory of voice that relates articulatory movements to perceptible acoustic measures (Garellek et al., 2016; Garellek, to appear).

What do the acoustic measures that emerged as important for distinguishing six phonation types in !Xóõ tell us about how these phonation types are articulated? H1\*–H2\* is higher with a more open glottis and/or decreased medial vocal fold thickness, and is lower with a more closed glottis and/or increased medial vocal fold thickness (Kreiman et al., 2008; Samlan et al., 2013; Zhang, 2016). Thus we see higher values of H1\*–H2\* for breathy vowels in !Xóõ, implying thinner and more open vocal folds during voicing. For creaky and pharyngealized vowels, we see lower values of the measure, which implies thicker and more tightly adducted vocal folds, which are likely also the result of more general laryngeal constriction, especially for the pharyngealized vowels (Edmondson & Esling, 2006; Moisik & Esling, 2011; Moisik, 2013). For both breathy-creaky and harsh vowels, we see a change from high to low H1\*-H2\*, likely as vowels transition from an open-glottis configuration to one with a more closed glottis, which surely in the case of harsh vowels is a by-product of greater laryngeal constriction (Traill, 1985, 1986). The increased vocal fold adduction and laryngeal constriction for creaky, pharyngealized, breathy-creaky, and harsh vowels also cause weaker voicing, as seen by the lowered Strength of Excitation (SoE), as well as irregular voicing, as seen by the decreased harmonics-to-noise ratio (HNR) below 500 Hz for these phonation types. Finally, we see higher F1 for pharyngealized and harsh vowels, which indexes laryngeal raising. The extra-low HNR measures (both broadband and low-frequency) found for harsh vowels likely index aryepiglottic trilling and/or frication (i.e., pharyngeal noise), which is attested in the language (Traill, 1986). A summary of the relevant acoustic measures and their likely articulatory origins is shown in Table 10. The harsh vowels in !Xóõ are therefore probably produced in a manner similar, if not identical, to the 'whispery growled harsh voice' described by Moisik (2013), with aryepiglottic trilling, irregular and weak voicing, and high airflow. And if creaky and breathy-creaky vowels involve general laryngeal constriction (rather than simply increased vocal fold thickness or vocal fold constriction), then it is also likely that breathy-creaky vowels are produced as whispery-creaky, since whisper involves an open glottis with laryngeal constriction (Esling & Harris, 2003). For both harsh and breathy-creaky vowels, more articulatory work is needed to determine how the open-glottis configuration in these phonation types is phased with respect to laryngeal constriction. But assuming that phonologically breathy-creaky vowels are produced as whispery-creaky (meaning that the laryngeal constriction co-occurs with an open glottis), it is unclear how breathiness and whisper are differentiated using the acoustic measures in this model; the harmonics-to-noise ratio measures show that breathy vowels and breathy-creaky vowels have similar degrees of noise in both the lower and higher ends of the spectrum. Instead, they differ in the timing of the noise (see Figure 7). In sum, articulatory studies of the phonation types in !Xóõ, as well as further cross-linguistic work on articulatory-acoustic modeling of phonation, are still needed to confirm how particular acoustic behavior relates back to specific articulations.

Table 10. Summary of acoustic measures that were important for distinguishing the six phonation types in !Xóõ and their articulatory correlates. Breathy-creaky vowels are excluded because they are defined by the acoustic characteristics of breathy vowels followed by the acoustic characteristics of creaky ones.

Phonation type	Acoustic correlates	Articulatory correlates	
	High HNR (both measures)	Negligible noise, periodic voicing	
Modal	High SoE	Strong voicing	
	Low HNR (both measures)	Aspiration noise	
Breathy	High SoE	Strong voicing	
-	High H1*–H2*	Open glottis	
	Low HNR <500 Hz	Irregular voicing	
Creature	High HNR <3500 Hz	Negligible aspiration/supraglottal noise	
Creaky	Low SoE	Weak voicing	
	Low H1*–H2*	Glottal constriction	
	Moderate HNR <500 Hz	Somewhat irregular voicing	
	Moderate HNR <3500 Hz	Some supraglottal noise	
Pharyngealized	Low SoE	Weak voicing	
	Low H1*–H2*, drop in F0	Glottal constriction	
	High F1	Larynx raising	
	Low HNR <500 Hz	Irregular voicing	
	Low HNR <3500 Hz	Aspiration/ supraglottal noise	
Harsh	Low SoE	Weak voicing	
	High-to-low H1*–H2*, drop in F0	Open glottis to glottal constriction	
	High F1	Larynx raising	

## 5.0 Conclusion

The first goal of this study was to determine whether the acoustic differences among the six phonation types of !Xóõ can be adequately modeled using the psychoacoustic model of the voice elaborated by Kreiman et al. (2014) and Garellek et al. (2016). The results of the linear discriminant analysis showed that, despite the large number of contrastive phonation types and their dynamic complexity, the phonation system is very well discriminated using parameters in the model. Further, all classes of parameters – harmonic source spectral slope, noise, voicing frequency and amplitude, and formants – mattered for differentiating the phonation types, illustrating the utility of both source and filter measures in describing complex voice qualities.

The second goal of this study was to determine how best to characterize harsh voice, a voice quality whose acoustic attributes remain largely understudied. In !Xóõ, this voice quality occurs in the phonation type traditionally called 'strident'. The results indicate that harsh voice in !Xóõ has the following characteristics: higher F1, like the pharyngealized phonation type in the language (consistent with laryngeal raising and pharyngealization); higher H1\*–H2\* at the beginning of the vowel, like breathy and breathy-creaky phonation types (consistent with its being analyzed phonologically as breathy-pharyngealized; Traill 1985); and more acoustic noise than the other phonation types, likely due to aryepiglottic trilling. Vowels with harsh voice also show a sharp drop in voicing rate and amplitude where constriction is strongest.

Together, these findings show how a psychoacoustic model of the voice can distinguish many different voice qualities, and how using such a model to analyze voice quality is generally recommended for the study of phonation types: it provides an a priori set of parameters to investigate; moreover, the parameters which distinguish voice qualities acoustically are also likely to be used by listeners, given that they are both perceptible and relate systematically to voice articulation.

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# **Disclosure Statement**

There were no conflicts of interest during data collection, analysis, or publication of this article.

# Appendix

The transcriptions and glosses have been modified from those appearing on the UCLA Phonetics Lab Archive, reflecting the updated versions in Traill (1985) as well as the author's transcriptions for distinguishing the six phonation types.

	Transcription	Spelling (Traill, 1994b)	Gloss
Breathy			
	!āo	!āho	'slope'
	!āle	!āhle	'stand on'
	!àla	!àhla	'Peeling-bark Ochna tree'
Modal			
	<sup>g</sup> ‡àa	‡gàa	'exploit'
	‡ába	‡ába	'peg'
	∥áa	∥áa	'Camel Thorn tree'
Creaky			
	<sup>g</sup> !xâ²je	g!xâ'je	'udder'
	<sup>g</sup>  à²je	gà'je	'bend'
Pharyngealized			
	qâ <sup>s</sup> a	qâa	'long ago'
	tá <sup>s</sup> i	tái	'far'
	‡qà <sup>s</sup> n	‡qàn	'smooth'
Breathy-creaky			
	à²je	àh'je	'wait for'
	<sup>g</sup>  ā̄²be	gāh'be	'hide'
	<sup>ŋ</sup>  ā̈́²be	nāh'be	'surround it'
Harsh			
	<sup>?ŋ</sup> !à <sup>\$</sup> o	'!nàho	'base'
	<sup>?ŋ</sup> !à <sup>\$</sup> le	'!nàhle	'lower it'
	<sup>G</sup> ≠à <sup>⊊</sup> li	+gàhli	'Bladethorn tree'

Table 11. Appendix: Complete wordlist.

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