Two Bantu augment morphemes or one: Evidence from Bulu

Emily Clem¹ and Jefferson Barlew²

¹eclem@berkeley.edu University of California, Berkeley

> ²barlew.1@osu.edu The Ohio State University

8th World Congress of African Linguistics 21 August 2015

Acknowledgements

We would like to thank Larry Hyman, Peter Jenks, and Sharon Inkelas for helpful discussion and feedback. We also appreciate the financial support of the UC Berkeley Department of Linguistics, the OSU Department of Linguistics, and the OSU College of Arts and Sciences. The greatest debt of gratitude is owed to our Bulu consultant whose knowledge, patience, and willingness make this project possible. All errors are ours alone.

Background on Bulu

- Bantu (A.74)
- Cameroon
- 800,000 speakers (Lewis et al., 2013)
- Original fieldwork in Columbus, OH: January 2013-present

The Bantu augment: Background

The Bantu augment:

- is also called the "initial vowel" or "pre-prefix" (Maho, 1999)
- is present only in a subset of Bantu languages (Maho, 1999)
- varies in form and function from language to language (de Blois, 1970)
- typically has more than one morphophonological realization in a given language; the version realized often depends on noun class (de Blois, 1970)

Minority report

- Not all morphemes that have typically been classified as the "augment" may actually be reflexes of the Proto-Bantu augment morpheme (Van de Velde, in press)
- The augment in Eton and other A70 Bantu languages may have arisen from a different set of historical developments

Can evidence from Bulu shed light on this question?

Alexandre's (1970) characterization of the Bulu "augment"

2 forms:

• segmental ([$\acute{ ext{e}}$ -]): nouns with class prefixes of the form $/\emptyset$ -/ or /C-/

(1) b- δt CL₂-person 'people'

- (1') ó-b-òt AUG-CL₂-person 'people'
- ullet tonal ([´]) : nouns with class prefixes of the form /V-/ or /CV-/
- (2) bì-tétám CL₈-okra 'okra (pl.)'

(2') bí-tétám
AUG.CL₈-okra
'okra (pl.)'

Phonologically determined form

 Contra de Blois (1970), the realization of the Bulu "augment" is phonologically conditioned rather than showing merely class-based allomorphy

Phonological conditioning (Alexandre, 1970)

- Class 2
- (3) ó-b-iŋgá AUG-CL₂-woman
 - 'women'
- Class 5
- (5) ó-d-is AUG-CL₅-eye 'eye'

- (4) bé-jál AUG.CL₂-wife 'wives'
- (6) á-lú

 AUG.CL5-night

 'night'

A problematic contrast

[á] acceptable only with salient contextual alternatives

- (7) a. Context: There are several types/dishes of kpem in your house.

 mà dʒí {ó-0-kpèm/#0-kpèm} ní-ná

 1s eat AUG-CL₉-kpem/CL₉-kpem AGR₉-DEM
 - b. Context: There is one type/dish of kpem in your house.
 mà dzí {#ó-0-kpèm/0-kpèm} pí-ná
 - 1s eat AUG-CL₉-kpem/CL₉-kpem AGR₉-DEM

'I am eating this kpem.'

'I am eating this kpem.'

(see Barlew and Clem 2014 for discussion)

A problematic contrast

['] obligatory regardless of contextual alternatives

- (8) a. Context: There are several kinds/pods of okra in your house. mà dʒí {é-tétám/#è-tétám} dʒí-ná 1S eat AUG.CL₇-okra/CL₇-okra AGR₇-DEM 'I am eating this pod of okra.'
 - b. Context: There is one pod of okra in your house.
 mà dzí {é-tétám/#è-tétám} dzí-ná
 1s eat AUG.CL7-okra/CL7-okra AGR7-DEM
 'I am eating this pod of okra.'

The puzzle

Why does [ə] but not ['] appear to have semantic content related to salient alternatives?

Why does [´] but not [ə́] appear to be grammatically obligatory?

Research questions

Are [á] and [´] allomorphs of a single augment morpheme or are they distinct morphemes?

What semantic, syntactic, and morphophonological factors lead to the distribution in (7) and (8)?

Our argument

- Bulu has two "augment-like" morphemes: /é/ and / '/
- Each morpheme is conditioned by distinct syntactic and semantic factors
- Morphophonological constraints limit the distribution of these morphemes, so that:
 - ullet on nouns with \emptyset or C- class prefixes, $/\ '/$ is realized as $[\emptyset]$, and
 - on nouns with V- or CV- class prefixes, $/ \hat{\bullet} /$ is realized as [´].

Syntactic distribution of $/\hat{\theta}/$ and $/^{\prime}/$

• Both /ə/ (glossed "ə") and /'/ (glossed "H") can occur on nouns combined with any of the following constituents (Alexandre, 1970):

Note: $/ \acute{a} /$ examples were elicited in contexts with salient alternatives; $/ \acute{a} /$ examples were elicited in contexts without such alternatives.

Subject relative clause

- (9) mà kómbò lúk ó-m-ìngá à bìlí bó-nàk 1s want to.marry ə-CL₁-woman SUB₁ own CL₂-cow 'I want to marry a woman who has cows.'
- (10) ó-sán wó kó tàtờ sờ wó bờ èmbíjà à-bhŋ H.CL₁₁-squirrel SUB₁₁ PST start come SUB₁₁ COP.PST very CL₅-pretty 'The squirrel, which came down first, was very pretty.'

Syntactic distribution of /ə/ and /'/

• Both /ə/ (glossed "ə") and /'/ (glossed "H") can occur on nouns combined with any of the following constituents (Alexandre, 1970):

Note: /ə/ examples were elicited in contexts with salient alternatives; /'/ examples were elicited in contexts without such alternatives.

Object relative clause

- (11) $\acute{\circ}$ - $\rlap/$ -kpèm mà kớ $^{\downarrow}$ dʒí ì á sò $\acute{\circ}$ -kàmèrùn $_{\circ}$ -CL $_{\circ}$ -kpem 1s PST eat SUB $_{\circ}$ PRES come LOC-Cameroon 'The kpem that I ate comes from Cameroon.'
- (12) bi-tétám mà kớ [†]dʒi bì á sò ó-kàmèrùn

 H.CL₈-okra 1s PST eat SUB₈ PRES come LOC-Cameroon

 'The okra that Late comes from Cameroon.'

Syntactic distribution of /ə/ and /'/

• Both /ə/ (glossed "ə") and /'/ (glossed "H") can occur on nouns combined with any of the following constituents (Alexandre, 1970):

Note: /ə/ examples were elicited in contexts with salient alternatives; /'/ examples were elicited in contexts without such alternatives.

Demonstrative

- (13) mà dzí ó-Ø-kpèm pí-ná
 1s eat ə-CL₉-kpem AGR₉-DEM
 'I am eating this kpem.'
- (14) <mark>ó-</mark>sán [↓]wứ-ná ó nà èmbíjà à-bàŋ H.CL₁₁-squirrel AGR₁₁-DEM SUB₁₁ COP very CL₅-pretty 'This squirrel is very pretty.'

Syntactic distribution of /ə/ and /'/

• Both /ə/ (glossed "ə") and /'/ (glossed "H") can occur on nouns combined with any of the following constituents (Alexandre, 1970):

Note: /ə/ examples were elicited in contexts with salient alternatives; /'/ examples were elicited in contexts without such alternatives.

Possessive

- (15) ó-dʒ-ôe d-è dá bè èmbíjà à-bàŋ ə-CL5-name AGR5-3S.POSS SUB5 COP.PST very CL5-good 'Her name was very good.'
- (16) ό-sán w-âm ó nà èmbíjà à-bλη
 H.CL₁₁-squirrel AGR₁₁-1S.POSS SUB₁₁ COP very CL₅-pretty
 'My squirrel is very pretty.'

Syntactic distribution of $/\hat{\theta}/$ and $/^{\prime}/$

• Both /ə/ (glossed "ə") and /'/ (glossed "H") can occur on nouns combined with any of the following constituents (Alexandre, 1970):

Note: /ə/ examples were elicited in contexts with salient alternatives; /'/ examples were elicited in contexts without such alternatives.

Genitive

- (17) $\stackrel{\checkmark}{\circ}$ -d-is m-òt tè í nà à-bàŋ $\stackrel{}{\circ}$ -CL₅-eye CL₁-man DEF SUB₅ COP CL₅-pretty 'The eye of the man is pretty.'
- (18) ó-sán ábòndò ó nè èmbíjà à-bàŋ H.CL₁₁-squirrel Abondo SUB₁₁ COP very CL₅-pretty 'Abondo's squirrel is very pretty.'

Syntactic distribution of $/\hat{\theta}/$ and $/^{\prime}/$

 /é/ can additionally occur with ordinals and with the morpheme -bók 'other'

Ordinal

(19) 5-Ø-tit ò-sú è mb5 Ø-kói ə-CL9-animal AGR9-first SUB9 cop.pst CL9-monkey 'The first animal was a monkey.'

-bók 'other'

- - Examples including ordinals and $-b\delta k$ 'other' but not contextually salient alternatives have yet to be gathered.

Syntactic distribution of /ə/ and / '/

 Both morphemes are unacceptable on bare nouns with no post-nominal constituent:

Bare noun

- (21) (*5-)Ø-fám è mbó é bò?ò é-fùmùlù é-sì -CL9-man SUB9 COP.PST SUB9 wear CL7-white CL7-hair 'The man had white hair.'
- (22) {*bi-tétám/bì-tétám} bi nà à-bàŋ {H.CL₈-okra/CL₈-okra} SUB₈ COP CL₅-good 'The okra is good.'

The Bulu / '/ morpheme

Generalization:

 /'/ occurs as a general syntactic marker for nouns combined with an element from the set of relevant constituents

Hypothesis:

- \bullet / $^{\prime}/$ makes a N+modifier combination accessible to the rest of the syntax
 - Both NPs and DPs are arguments in Bulu (see Chierchia (1998)).
 - Bare nouns are NPs. Therefore, they can be arguments.
 - When one of the set of relevant constituents composes with an NP, the resulting XP is no longer an NP, and therefore also no longer argumental.
- /'/ is a Determiner head which licenses an XP with surface structure N+modifier to form a DP argument

Phonological constraints on /'/

- A highly ranked faithfulness constraint which preserves root tones prevents / / from being realized on root vowels
- The constraint preserving affix tones is ranked below this constraint
- This follows the cross-linguistic tendency of the constraint ranking Root-Faith >> Affix-Faith
- \bullet This constraint ranking has the effect of blocking the realization of $/\,\,{}'/$ on C- and $\emptyset\text{-}$ prefixed nouns

Evidence for Root-Faith >> Affix-Faith

- Additional evidence for the constraint ranking that preserves root tones comes from the interaction of verb and direct object (DO) tone
- There is a phonological process in Bulu by which the initial tone of the DO noun changes to match the final tone of the verb (Clem, 2014)
- When the tone that would be affected is a root tone rather than an affix tone, the DO tone does not change

Verb and DO tone interaction

- (23) ò-fùmbí (24) mà dʒí ó-fùmbí
 CL₁₁-orange 1s eat CL₁₁-orange
 'orange' 'I am eating an orange.'
- (25) m-iŋgá (26) Ø-zoi ì à dʒi m-iŋgá CL₁-woman CL₉-wolf SUB₉ PRES eat CL₁-woman
 - 'woman' 'The wolf is eating the woman.'

Neutralization with / '/

 Due to the ranking of faithfulness constraints, the contrast between bare nouns and / '/ is neutralized for C- and ∅- prefixed nouns

(27) Context: There is one type/dish of kpem in your house.

- a. mà dʒí <mark>∅-kpèm</mark> 1s eat CL₉-kpem
 - 'I am eating kpem.'
- b. mà-dʒí <mark>0-kpèm</mark> ni-ná 1s-eat H.CL₉-kpem AGR₉-DEM 'I am eating this kpem.'

The Bulu /\(\perp\)/ morpheme

- \bullet $/ \dot{\bullet} /$ can also be analyzed as a type of D head
- This morpheme is conditioned by additional semantic factors
- Specifically, it encodes a contrast between the individual denoted by an NP and a contextually relevant set of alternatives
- (28) a. Context: There are several types/dishes of kpem in your house.

 mà-dʒí #(ó-)Ø-kpèm pí-ná

 1S-eat o-CLo-kpem AGRo-DEM

 'I am eating this kpem.'
 - b. Context: There is one type/dish of kpem in your house.
 mà-dʒi (#ó-)Ø-kpèm pi-ná
 1s-eat ə-CL9-kpem AGR9-DEM
 'I am eating this kpem.'

Morphophonological constraints on /\(\delta\)

- ullet Nouns stems may only have one prefix in Bulu, preventing / $\acute{a}/$ from attaching to V- and CV- prefixed nouns
- Instead, the morpheme is realized as a high tone on the class prefix
- - Similar phenomena have been described in the verbal and nominal domains for other Bantu languages (see Marlo 2014 for discussion)
 - Evidence for this process in Bulu comes from a process of reduplicaion

Noun reduplication (Bates, 1926)				
(29)	kum mvuk atyeñ	GLOSS 'being head man' 'being dumb' 'being skilled'	REDUPLICATED FORM nkukum mvumvuk ntyetyeñ	'head man' 'dumb person' 'skilled person'

Neutralization with /\(\phi\)

 Due to the constraint on the number of prefixes, the contrast between /\(\delta\)/ and /'/ is neutralized for V- and CV- prefixed nouns

(30) a. Context: There are several kinds/pods of okra in your house.

mà dʒí é-tétám dʒí-ná 1s eat ə.CL7-okra AGR7-DEM

'I am eating this pod of okra.'

b. Context: There is one pod of okra in your house.

mà dzí é-tétám dzí-ná 1s eat H.CL₇-okra AGR₇-DEM

'I am eating this pod of okra.'

Conclusion

- Bulu has two "augment-like" morphemes with
 - similar syntactic distributions and functions,
 - · distinct semantic content, and
 - distinct phonological forms
- Independently motivated language-specific morphophonological constraints make it appear as though these two morphemes are allomorphs of a single "augment" morpheme
- This analysis
 - accounts for divergences between generalizations about reflexes of the Proto-Bantu augment, such as de Blois', and the Bulu data
 - supports van de Velde's (in press) hypothesis that purported reflexes of the proto-Bantu augment may actually have different historical antecedents in some Bantu languages

- Alexandre, P. (1970). Pre-initial elements in Bulu (A.74) nominals. *African Language Studies*, pages 5–11.
- Barlew, J. and Clem, E. (2014). The augment morpheme in Bulu. Presentation at the Linguistic Society of America Annual Meeting.
- Bates, G. L. (1926). *Handbook of Bulu*. Halsey Memorial Press, Elat, Cameroon.
- Chierchia, G. (1998). Reference to kinds across languages. *Natural Language Semantics*, 6:339–405.
- Clem, E. (2014). The interaction of verb and direct object tone in Bulu. Presentation at the 45th Annual Conference on African Linguistics.
- de Blois, K. F. (1970). The augment in Bantu languages. *Africana Linguistica IV*, pages 85–165.
- Lewis, M. P., Simons, G. F., and Fennig, C. D., editors (2013). *Ethnologue: Languages of the World*. SIL International, Dallas, seventeenth edition. Online version: http://www.ethnologue.com.
- Maho, J. (1999). A Comparative Study of Bantu Noun Classes, volume 13 of Orientalia et Africana Gothoburgensia. Acta Universitatis Gothoburgensis, Goteborg, Sweden.

- Marlo, M. R. (2014). The exceptional properties of the 1sg and reflexive object markers in Bantu: Syntax, phonology, or both? Handout of talk presented at the 45th Annual Conference on African Linguistics.
- Van de Velde, M. (to appear). The augment as a construct marker in Eton relative clause constructions. In Grollemund, R. and Atindogbe, G., editors, *Relative Clauses in the Languages of Cameroon*.