

# Improving Shape Retrieval by Learning New Similarity Measures

Xingwei Yang<sup>1</sup>, Xiang Bai<sup>2,3</sup>, Longin Jan Latecki<sup>1</sup>, Zhuowen Tu<sup>3</sup>

<sup>1</sup> Dept. of Computer and Information Sciences, Temple University, Philadelphia  
{xingwei, latecki@temple.edu}

<sup>2</sup> Dept. of Electronics and Information Engineering, Huazhong University of Science  
and Technology, P. R. China  
xiang.bai@gmail.com

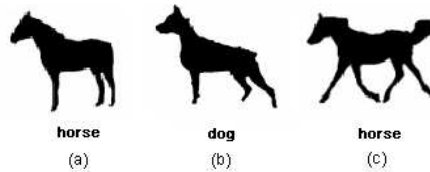
<sup>3</sup> Lab of Neuro Imaging, University of California, Los Angeles  
ztu@loni.ucla.edu

**Abstract.** Shape retrieval/matching is a very important topic in computer vision. The recent progress in this domain has been mostly driven by designing smart features for providing better similarity measure between pairs of shapes. In this paper, we provide a new perspective to this problem by considering the existing shapes as a group, and study their similarity measures to the query shape in a graph structure. Our method is general and can be built on top of any existing shape matching algorithms. It learns a better metric through graph transduction by propagating the model through existing shapes, in a way similar to computing geodesics in shape manifold. However, the proposed method does not require learning the shape manifold explicitly and it does not require knowing any class labels of existing shapes. The presented experimental results demonstrate that the proposed approach yields significant improvements over the state-of-art shape matching algorithms. We obtained a retrieval rate of **91%** on the MPEG-7 data set, which is the highest ever reported in the literature.

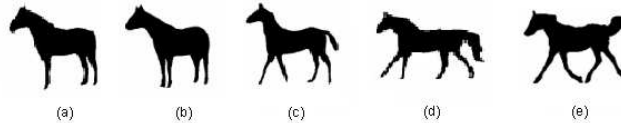
## 1 Introduction

Shape matching/retrieval is a very critical problem in computer vision. There are many different kinds of shape matching methods, and the progress in increasing the matching rate has been substantial in recent years. However, all of these approaches are focused on the nature of shape similarity. It seems to be an obvious statement that the more similar two shapes are, the smaller is their difference, which is measured by some distance function. Yet, this statement ignores the fact that some differences are relevant while other differences are irrelevant for shape similarity. It is not yet clear how the biological vision systems perform shape matching; it is clear that shape matching involves the high-level understanding of shapes. In particular, shapes in the same class can differ significantly because of distortion or non-rigid transformation. In other words, even if two shapes belong to the same class, the distance between them may be very large if the distance measure cannot capture the intrinsic property of the shape. It appears to us that all published shape distance measures [1–7]

42 are unable to address this issue. For example, based on the inner distance shape 42  
 43 context (IDSC) [3], the shape in Fig. 1(a) is more similar to (b) than to (c), 43  
 44 but it is obvious that shape (a) and (c) belong to the same class. This incorrect 44  
 45 result is due to the fact that the inner distance is unaware that the missing tail 45  
 46 and one front leg are irrelevant for this shape similarity judgment. On the other 46  
 47 hand, much smaller shape details like the dog’s ear and the shape of the head 47  
 48 are of high relevance here. No matter how good a shape matching algorithm is, 48  
 49 the problem of relevant and irrelevant shape differences must be addressed if we 49  
 50 want to obtain human-like performance. This requires having a model to capture 50  
 51 the essence of a shape class instead of viewing each shape as a set of points or a 51  
 52 parameterized function. 52



**Fig. 1.** Existing shape similarity methods incorrectly rank shape (b) as more similar to (a) than (c).



**Fig. 2.** A key idea of the proposed distance learning is to replace the original shape distance between (a) and (e) with a geodesic path in the manifold of know shapes, which is the path (a)-(e) in this figure.

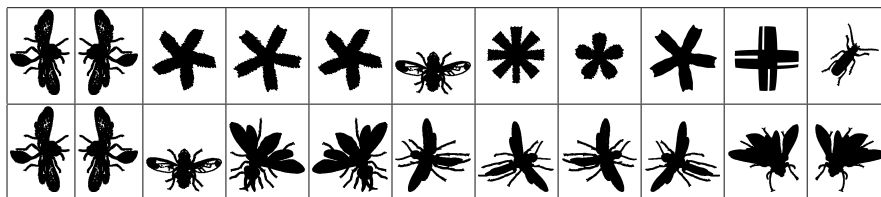
53 In this paper, we propose to use a graph-based transductive learning algorithm 53  
 54 to tackle this problem, and it has the following properties: (1) Instead 54  
 55 of focusing on computing the distance (similarity) for a pair of shapes, we take 55  
 56 advantage of the manifold formed by the existing shapes. (2) However, we do not 56  
 57 explicitly learn the manifold nor compute the geodesics [8], which are time con- 57  
 58 suming to calculate. A better metric is learned by collectively propagating the 58  
 59 similarity measures to the query shape and between the existing shapes through 59  
 60 graph transduction. (3) Unlike the label propagation [9] approach, which is semi- 60  
 61 supervised, we treat shape retrieval as an unsupervised problem and do not re- 61  
 62 quire knowing any shape labels. (4) We can build our algorithm on top of any 62  
 63 existing shape matching algorithm and a significant gain in retrieval rates can 63  
 64 be observed on well-known shape datasets. 64

65 Given a database of shapes, a query shape, and a shape distance function, 65  
 66 which does not need to be a metric, we learn a new distance function that is 66  
 67 expressed by shortest paths on the manifold formed by the know shapes and the 67  
 68 query shape. We can do this without explicitly learning this manifold. As we 68

69 will demonstrate in our experimental results, the new learned distance function 69  
 70 is able to incorporate the knowledge of relevant and irrelevant shape differences. 70  
 71 It is learned in an unsupervised setting in the context of known shapes. For 71  
 72 example, if the database of known shapes contains shapes (a)-(e) in Fig. 2, then 72  
 73 the new learned distance function will rank correctly the shape in Fig. 1(a) as 73  
 74 more similar to (c) than to (b). The reason is that the new distance function 74  
 75 will replace the original distance (a) to (c) in Fig.1 with a distance induced by 75  
 76 the shortest path between in (a) and (e) in Fig.2. 76

77 In more general terms, even if the difference between shape  $A$  and shape  $C$  77  
 78 is large, but there is a shape  $B$  which has small difference to both of them, we 78  
 79 still claim that shape  $A$  and shape  $C$  are similar to each other. This situation is 79  
 80 possible for most shape distances, since they do not obey the triangle inequality, 80  
 81 i.e., it is not true that  $d(A, C) \leq d(A, B) + d(B, C)$  for all shapes  $A, B, C$  [10]. 81  
 82 We propose a learning method to modify the original shape distance  $d(A, C)$ . 82  
 83 If we have the situation that  $d(A, C) > d(A, B) + d(B, C)$  for some shapes 83  
 84  $A, B, C$ , then the proposed method is able to learn a new distance  $d'(A, C)$  such 84  
 85 that  $d'(A, C) \leq d(A, B) + d(B, C)$ . Further, if there is a path in the distance 85  
 86 space such that  $d(A, C) > d(A, B_1) + \dots + d(B_k, C)$ , then our method learns 86  
 87 a new  $d'(A, C)$  such that  $d'(A, C) \leq d(A, B_1) + \dots + d(B_k, C)$ . Since this path 87  
 88 represents a minimal distortion morphing of shape  $A$  to shape  $C$ , we are able to 88  
 89 ignore irrelevant shape differences, and consequently, we can focus on relevant 89  
 90 shape differences with the new distance  $d'$ . 90

91 Our experimental results clearly demonstrate that the proposed method can 91  
 92 improve the retrieval results of the existing shape matching methods. We ob- 92  
 93 tained the retrieval rate of **91%** on part B of the MPEG-7 Core Experiment 93  
 94 CE-Shape-1 data set [11], which is the highest ever bull's eye score reported in 94  
 95 the literature. As the input to our method we used the IDSC, which has the 95  
 96 retrieval rate of 85.40% on the MPEG-7 data set [3]. Fig. 3 illustrates the ben- 96  
 97 efits of the proposed distance learning method. The first row shows the query 97  
 98 shape followed by the first 10 shapes retrieved using IDSC only. Only two flies 98  
 99 are retrieved among the first 10 shapes. The results of the learned distance for 99  
 100 the same query are shown in the second row. All of the top 10 retrieval results 100  
 101 are correct. The proposed method was able to learn that the shape differences 101  
 in the number of fly legs and their shapes are irrelevant.



**Fig. 3.** The first column shows the query shape. The remaining 10 columns show the most similar shapes retrieved from the MPEG-7 data set. The first row shows the results of IDSC [3]. The second row shows the results of the proposed learned distance.

102 The remainder of this paper is organized as follows. In Section 2, we briefly 102  
 103 review some well-known shape matching methods and the semi-supervised learn- 103  
 104 ing algorithms. Section 3 describes the proposed approach to learning shape 104  
 105 distances. Section 4 relates the proposed approach to the class of machine learn- 105  
 106 ing approaches called label propagation. The problem of the construction of the 106  
 107 affinity matrix is addressed in Section 5. Section 6 gives the experimental results 107  
 108 to show the advantage of the proposed approach. Conclusion and discussion are 108  
 109 given in Section 7. 109

## 110 2 Related work 110

111 The semi-supervised learning problem has attracted an increasing amount of inter- 111  
 112 est recently, and several novel approaches have been proposed. The existing 112  
 113 approaches could be divided into several types, multiview learning [12], gener- 113  
 114 ative model [13], Transductive Support Vector Machine (TSVM) [14]. Recently 114  
 115 there have been some promising graph based transductive learning approaches 115  
 116 proposed, such as label propagation [9], Gaussian fields and harmonic functions 116  
 117 (GFHF) [15], local and global consistency (LGC) [16], and the Linear Neigh- 117  
 118 borhood Propagation (LNP) [17]. Zhou et al. [18] modified the LGC for the 118  
 119 information retrieval. The semi-supervised learning problem is related to mani- 119  
 120 fold learning approaches, e.g., [19]. 120

121 The proposed method is inspired by the label propagation. The reason we 121  
 122 choose the framework of label propagation is it allows the clamping of labels. 122  
 123 Since the query shape is the only labeled shape in the retrieval process, the label 123  
 124 propagation allows us to enforce its label during each iteration, which naturally 124  
 125 fits in the framework of shape retrieval. Usually, GFHF is used instead of label 125  
 126 propagation, as both methods can achieve the same results[9]. However, in the 126  
 127 shape retrieval, we can use only the label propagation, the reason is explained 127  
 128 in detail in Section 4. 128

129 Since a large number of shape similarity methods have been proposed in 129  
 130 the literature, we focus our attention on methods that reported retrieval re- 130  
 131 sults on the MPEG-7 shape data set (part B of the MPEG-7 Core Experiment 131  
 132 CE-Shape-1). This allows us to clearly demonstrate the retrieval rate improve- 132  
 133 ments obtained by the proposed method. Belongie et al. [1] introduced a novel 133  
 134 local representation of shapes called shape context. Ling and Jacobs [3] modi- 134  
 135 fied the shape context by considering the geodesic distance of contour instead of 135  
 136 the Euclidean distance, which improved the classification of articulated shapes. 136  
 137 Latecki and Lakaemper [4] used visual parts for shape matching. In order to 137  
 138 avoid problems associated with purely global or local methods, Felzenszwalb 138  
 139 and Schwartz [5] also described a hierarchical matching method. Other hierar- 139  
 140 chical methods include the hierarchical graphical models in [20] and hierarchical 140  
 141 procrustes matching [6]. 141

142 There is a significant body of work on distance learning [21]. Xing et al. 142  
 143 [22] propose estimating the matrix  $W$  of a Mahalanobis distance by solving a 143  
 144 convex optimization problem. Bar-Hillel et al. [23] also use a weight matrix  $W$  to 144

145 estimate the distance by relevant component analysis (RCA). Athitsos et al. [24] 145  
 146 proposed a method called BoostMap to estimate a distance that approximates a 146  
 147 certain distance. Hertz's work [25] uses AdaBoost to estimate a distance function 147  
 148 in a product space, whereas the weak classifier minimizes an error in the original 148  
 149 feature space. All these methods' focus is a selection of suitable distance from 149  
 150 a given set of distance measures. Our method aims at improving the retrieval 150  
 151 performance of a given distance measure. 151

### 152 3 Learning New Distance Measures 152

153 We first describe the classical setting of similarity retrieval. It applies to many 153  
 154 retrieval scenarios like image, document, key word, and shape retrieval. Given is 154  
 155 a set of objects  $X = \{x_1, \dots, x_n\}$  and a similarity function  $\text{sim}: X \times X \rightarrow R^+$  155  
 156 that assigns a similarity value (a positive integer) to each pair of objects. 156

157 We assume that  $x_1$  is a query object (e.g., a query shape),  $\{x_2, \dots, x_n\}$  is a 157  
 158 set of known database objects (or a training set). Then by sorting the values 158  
 159  $\text{sim}(x_1, x_i)$  in decreasing order for  $i = 2, \dots, n$  we obtain a ranking of database 159  
 160 objects according to their similarity to the query, i.e., the most similar database 160  
 161 object has the highest value and is listed first. Sometimes a distance measure is 161  
 162 used in place of the similarity measure, in which case the ranking is obtained 162  
 163 by sorting the database objects in the increasing order, i.e., the object with the 163  
 164 smallest value is listed first. Usually, the first  $N \ll n$  objects are returned as the 164  
 165 most similar to the query  $x_1$ . 165

166 As discussed above, the problem is that the similarity function  $\text{sim}$  is not 166  
 167 perfect so that for many pairs of objects it returns wrong results, although it 167  
 168 may return correct scores for most pairs. We introduce now a method to learn 168  
 169 a new similarity function  $\text{sim}_T$  that drastically improves the retrieval results of 169  
 170  $\text{sim}$  for the given query  $x_1$ . 170

171 Let  $w_{i,j} = \text{sim}(x_i, x_j)$ , for  $i, j = 1, \dots, n$ , be a similarity matrix, which is 171  
 172 also called an affinity matrix. We define a sequence of labeling functions  $f_t : 172$   
 173  $X \rightarrow [0, 1]$  with  $f_0(x_1) = 1$  and  $f_0(x_i) = 0$  for  $i = 2, \dots, n$ . We use the following 173  
 174 recursive update of function  $f_t$ : 174

$$f_{t+1}(x_i) = \frac{\sum_{j=1}^n w_{ij} f_t(x_j)}{\sum_{j=1}^n w_{ij}} \quad (1)$$

175 for  $i = 2, \dots, n$  and we set 175

$$f_{t+1}(x_1) = 1. \quad (2)$$

176 We have only one class that contains only one labeled element being the query 176  
 177  $x_1$ . We define a sequence of new learned similarity functions restricted to  $x_1$  as 177

$$\text{sim}_t(x_1, x_i) = f_t(x_i). \quad (3)$$

178 Thus, we interpret  $f_t$  as a set of normalized similarity values to the query  $x_1$ . 178  
 179 Observe that  $\text{sim}_1(x_1, x_i) = w_{1,i} = \text{sim}(x_1, x_i)$ . 179

180 We iterate steps (1) and (2) until the step  $t = T$  for which the change is 180  
 181 below a small threshold. We then rank the similarity to the query  $x_1$  with  $\text{sim}_T$ . 181

182 Our experimental results in Section 6 demonstrate that the replacement of the 182  
 183 original similarity measure  $sim$  with  $sim_T$  results in a significant increase in the 183  
 184 retrieval rate. 184

185 The steps (1) and (2) are used in label propagation, which is described in 185  
 186 Section 4. However, our goal and our setting are different. Although label propa- 186  
 187 gation is an instance of semi-supervised learning, we stress that we remain in the 187  
 188 unsupervised learning setting. In particular, we deal with the case of only one 188  
 189 known class, which is the class of the query object. This means, in particular, 189  
 190 that label propagation has a trivial solution in our case  $\lim_{t \rightarrow \infty} f_t(x_i) = 1$  for all 190  
 191  $i = 1, \dots, n$ , i.e., all objects will be assigned the class label of the query shape. 191  
 192 Since our goal is ranking of the database objects according to their similarity to 192  
 193 the query, we stop the computation after a suitable number of iterations  $t = T$ . 193  
 194 As is the usual practice with iterative processes that are guaranteed to converge, 194  
 195 the computation is halted if the difference  $\|f_{t+1} - f_t\|$  becomes very slow, see 195  
 196 Section 6 for details. 196

197 If the database of known objects is large, the computation with all  $n$  objects 197  
 198 may become impractical. Therefore, in practice, we construct the matrix  $w$  using 198  
 199 only the first  $M < n$  most similar objects to the query  $x_1$  sorted according to 199  
 200 the original distance function  $sim$ . 200

## 201 4 Relation to Label Propagation 201

202 Label propagation is formulated as a form of propagation on a graph, where 202  
 203 node's label propagates to neighboring nodes according to their proximity. In 203  
 204 our approach we only have one labeled node, which is the query shape. The key 204  
 205 idea is that its label propagates "faster" along a geodesic path on the manifold 205  
 206 spanned by the set of known shapes than by direct connections. While following 206  
 207 a geodesic path, the obtained new similarity measure learns to ignore irrelevant 207  
 208 shape differences. Therefore, when learning is complete, it is able to focus on 208  
 209 relevant shape differences. We review now the key steps of label propagation 209  
 210 and relate them to the proposed method introduced in Section 3. 210

211 Let  $\{(x_1, y_1) \dots (x_l, y_l)\}$  be the labeled data,  $y \in \{1 \dots C\}$ , and  $\{x_{l+1} \dots x_{l+u}\}$  211  
 212 the unlabeled data, usually  $l \ll u$ . Let  $n = l + u$ . We will often use  $L$  and  $U$  212  
 213 to denote labeled and unlabeled data respectively. The Label propagation sup- 213  
 214 poses the number of classes  $C$  is known, and all classes are present in the labeled 214  
 215 data[9]. A graph is created where the nodes are all the data points, the edge be- 215  
 216 tween nodes  $i, j$  represents their similarity  $w_{i,j}$ . Larger edge weights allow labels 216  
 217 to travel through more easily. We define a  $n \times n$  probabilistic transition matrix 217  
 218  $P$  as a row-wise normalized matrix  $w$ . 218

$$P_{ij} = \frac{w_{ij}}{\sum_{k=1}^n w_{ik}} \quad (4)$$

219 where  $P_{ij}$  is the probability of transit from node  $i$  to node  $j$ . Also define a  $l \times C$  219  
 220 label matrix  $Y_L$ , whose  $i$ th row is an indicator vector for  $y_i$ ,  $i \in L$ :  $Y_{ic} = \delta(y_{i,c})$ . 220  
 221 The label propagation computes soft labels  $f$  for nodes, where  $f$  is a  $n \times C$  matrix 221  
 222 whose rows can be interpreted as the probability distributions over labels. The 222  
 223 initialization of  $f$  is not important. The label propagation algorithm is as follows: 223

- 224 1. Initially, set  $f(x_i) = y_i$  for  $i = 1, \dots, l$  and  $f(x_j)$  arbitrarily (e.g., 0) for 224  
 225  $x_j \in X_u$  225
- 226 2. Repeat until convergence: Set  $f(x_i) = \frac{\sum_{j=1}^n w_{ij} f(x_j)}{\sum_{j=1}^n w_{ij}}$ ,  $\forall x_i \in X_u$  and set 226  
 227  $f(x_i) = y_i$  for  $i = 1, \dots, l$  (the labeled objects should be fixed). 227

228 In step 1, all nodes propagate their labels to their neighbors for one step. Step 2 is 228  
 229 critical, since it ensures persistent label sources from labeled data. Hence instead 229  
 230 of letting the initial labels fade way, we fix the labeled data. This constant push 230  
 231 from labeled nodes, helps to push the class boundaries through high density 231  
 232 regions so that they can settle in low density gaps. If this structure of data fits 232  
 233 the classification goal, then the algorithm can use unlabeled data to improve 233  
 234 learning. 234

235 Let  $f = \begin{pmatrix} f_L \\ f_U \end{pmatrix}$ . Since  $f_L$  is fixed to  $Y_L$ , we are solely interested in  $f_U$ . The 235  
 236 matrix  $P$  is split into labeled and unlabeled sub-matrices 236

$$P = \begin{bmatrix} P_{LL} & P_{LU} \\ P_{UL} & P_{UU} \end{bmatrix} \quad (5)$$

237 As proven in [9] the label propagation converges, and the solution can be computed 237  
 238 in closed form using matrix algebra: 238

$$f_U = (I - P_{UU})^{-1} P_{UL} Y_L \quad (6)$$

239 However, as the label propagation requires all classes be present in the labeled 239  
 240 data, it is not suitable for shape retrieval. As mentioned in Section 3, for shape 240  
 241 retrieval, the query shape is considered as the only labeled data and all other 241  
 242 shapes are the unlabeled data. Moreover, the graph among all of the shapes is 242  
 243 fully connected, which means the label could be propagated on the whole graph. 243  
 244 If we iterate the label propagation infinite times, all of the data will have the 244  
 245 same label, which is not our goal. Therefore, we stop the computation after a 245  
 246 suitable number of iterations  $t = T$ . 246

## 247 5 The Affinity Matrix 247

248 In this section, we address the problem of the construction of the affinity matrix 248  
 249  $W$ . There are some methods that address this issue, such as local scaling [26], 249  
 250 local linear approximation [17], and adaptive kernel size selection [27]. 250

251 However, in the case of shape similarity retrieval, a distance function is usually 251  
 252 defined, e.g., [1, 3–5]. Let  $D = (D_{ij})$  be a distance matrix computed by 252  
 253 some shape distance function. Our goal is to convert it to a similarity measure 253  
 254 in order to construct an affinity matrix  $W$ . Usually, this can be done by using a 254  
 255 Gaussian kernel: 255

$$w_{ij} = \exp\left(-\frac{D_{ij}^2}{\sigma_{ij}^2}\right) \quad (7)$$

256 Previous research has shown that the propagation results highly depend on the 256  
 257 kernel size  $\sigma_{ij}$  selection [17]. In [15], a method to learn the proper  $\sigma_{ij}$  for the kernel 257  
 258 is introduced, which has excellent performance. However, it is not learnable 258  
 259 in the case of few labeled data. In shape retrieval, since only the query shape 259  
 260 has the label, the learning of  $\sigma_{ij}$  is not applicable. In our experiment, we use use 260  
 261 an adaptive kernel size based on the mean distance to K-nearest neighborhoods: 261

$$\sigma_{ij} = C \cdot \text{mean}(\{knnd(x_i), knnd(x_j)\}) \quad (8)$$

262 where  $\text{mean}(\{knnd(x_i), knnd(x_j)\})$  represents the mean distance of the K-nearest 262  
 263 neighbor distance of the sample  $x_i, x_j$  and  $C$  is an extra parameter. Both  $K$  and 263  
 264  $C$  are determined empirically. 264

## 265 6 Experimental Results 265

266 In this section, we show that the proposed approach can significantly improve 266  
 267 retrieval rates of existing shape similarity methods. 267

### 268 6.1 Improving Inner Distance Shape Context 268

269 The IDSC [3] significantly improved the performance of shape context [1] by 269  
 270 replacing the Euclidean distance with shortest paths inside the shapes, and ob- 270  
 271 tained the retrieval rate of 85.40% on the MPEG-7 data set. The proposed 271  
 272 distance learning method is able to improve the IDSC retrieval rate to **91.00%**. 272  
 273 For reference, Table 1 lists some of the reported results on the MPEG-7 data 273  
 274 set. The MPEG-7 data set consists of 1400 silhouette images grouped into 70 274  
 275 classes. Each class has 20 different shapes. The retrieval rate is measured by 275  
 276 the so-called bull’s eye score. Every shape in the database is compared to all 276  
 277 other shapes, and the number of shapes from the same class among the 40 most 277  
 278 similar shapes is reported. The bull’s eye retrieval rate is the ratio of the total 278  
 279 number of shapes from the same class to the highest possible number (which is 279  
 280  $20 \times 1400$ ). Thus, the best possible rate is 100%. 280

281 In order to visualize the gain in retrieval rates by our method as compared 281  
 282 to IDSC, we plot the percentage of correct results among the first  $k$  most similar 282  
 283 shapes in Fig. 4(a), i.e., we plot the percentage of the shapes from the same class 283  
 284 among the first  $k$ -nearest neighbors for  $k = 1, \dots, 40$ . Recall that each class has 284  
 285 20 shapes, which is why the curve increases for  $k > 20$ . We observe that the 285  
 286 proposed method not only increases the bull’s eye score, but also the ranking of 286  
 287 the shapes for all  $k = 1, \dots, 40$ . 287

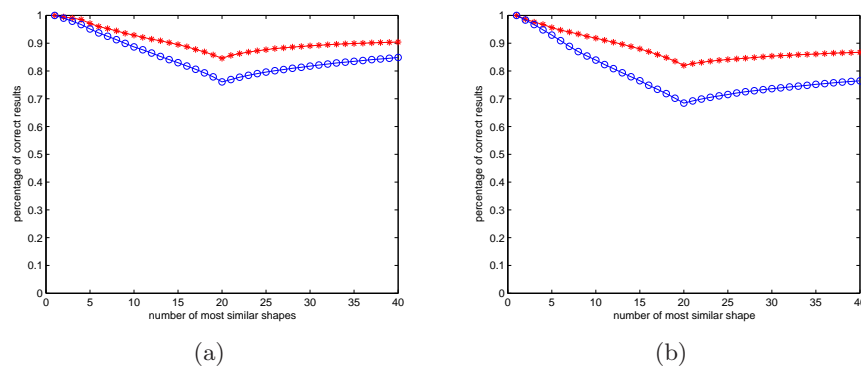
288 We use the following parameters to construct the affinity matrix:  $C = 0.25$  288  
 289 and the neighborhood size is  $K = 10$ . As stated in Section 3, in order to increase 289  
 290 computational efficiency, it is possible to construct the affinity matrix for only 290  
 291 part of the database of known shapes. Hence, for each query shape, we first 291  
 292 retrieve 300 the most similar shapes, and construct the affinity matrix  $W$  for 292  
 293 only those shapes, i.e.,  $W$  is of size  $300 \times 300$  as opposed to a  $1400 \times 1400$  matrix 293  
 294 if we consider all MPEG-7 shapes. Then we calculate the new similarity measure 294



295  $sim_T$  for only those 300 shapes. Here we assume that all relevant shapes will be 295  
 296 among the 300 most similar shapes. Thus, by using a larger affinity matrix we 296  
 297 can improve the retrieval rate but at the cost of computational efficiency. 297

**Table 1.** Retrieval rates (bull’s eye) of different methods on the MPEG-7 data set.

Alg.	CSS [28]	Vis. Parts [4]	SC +TPS [1]	IDSC +DP [3]	Hierarchical Procrustes [6]	Shape Tree [5]	IDSC+DP + our method
Score	75.44%	76.45%	76.51%	85.40%	86.35%	87.70%	<b>91.00%</b>



**Fig. 4.** (a) A comparison of retrieval rates between IDSC [3] (blue circles) and the proposed method (red stars). (b) A comparison of retrieval rates between visual parts in [4] (blue circles) and the proposed method (red stars).

298 In addition to the statistics presented in Fig. 4, Fig. 5 illustrates also that 298  
 299 the proposed approach improves the performance of IDSC. A very interesting 299  
 300 case is shown in the first row, where for IDSC only one result is correct for the 300  
 301 query octopus. It instead retrieves nine apples as the most similar shapes. Since 301  
 302 the query shape of the octopus is occluded, IDSC ranks it as more similar to an 302  
 303 apple than to the octopus. In addition, since IDSC is invariant to rotation, it 303  
 304 confuses the tentacles with the apple stem. Even in the case of only one correct 304  
 305 shape, the proposed method learns that the difference between the apple stem is 305  
 306 relevant, although the tentacles of the octopuses exhibit a significant variation 306  
 307 in shape. We restate that this is possible because the new learned distances are 307  
 308 induced by geodesic paths in the shape manifold spanned by the known shapes. 308  
 309 Consequently, the learned distances retrieve nine correct shapes. The only wrong 309  
 310 results is the elephant, where the nose and legs are similar to the tentacles of 310  
 311 the octopus. 311

312 As shown in the third row, six of the top ten IDSC retrieval results of lizard 312  
 313 are wrong. since IDSC cannot ignore the irrelevant differences between lizards 313  
 314 and sea snakes. All retrieval results are correct for the new learned distances, 314  
 315 since the proposed method is able to learn the irrelevant differences between 315

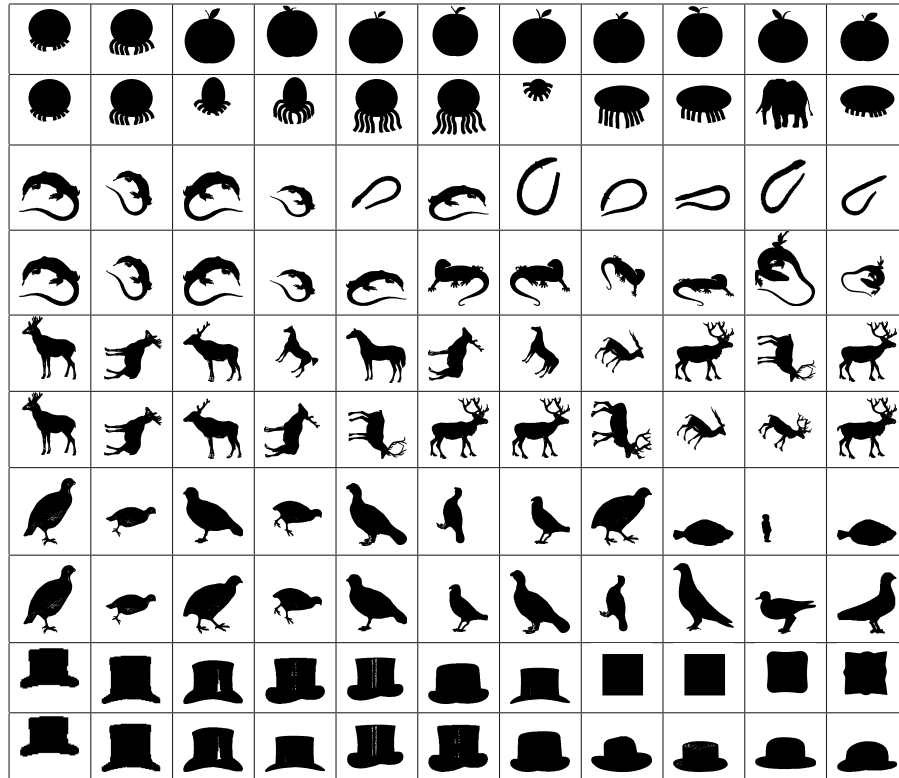
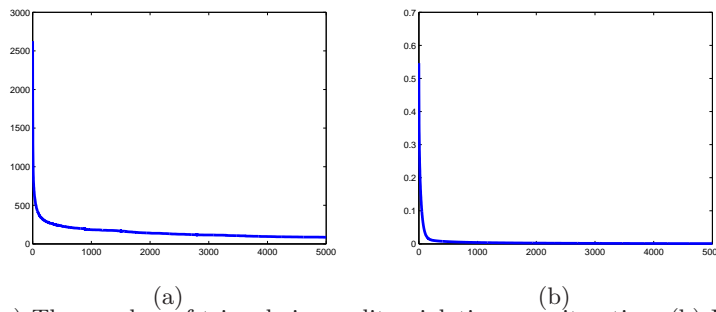


Fig. 5. The first column shows the query shape. The remaining 10 columns show the most similar shapes retrieved by IDSC (odd row numbers) and by our method (even row numbers).

316 lizards and the relevant differences between lizards and sea snakes. For the results  
 317 of deer (fifth row), three of the top ten retrieval results of IDSC are horses. 317  
 318 Compared to it, the proposed method (sixth row) eliminates all of the wrong 318  
 319 results so that only deers are in the top ten results. It appears to us that our 319  
 320 new method learned to ignore the irrelevant small shape details of the antlers. 320  
 321 Therefore, the presence of the antlers became a relevant shape feature here. The 321  
 322 situation is similar for the bird and hat, with three and four wrong retrieval 322  
 323 results respectively for IDSC, which are eliminated by the proposed method. 323

324 An additional explanation of the learning mechanism of the proposed method 324  
 325 is provided by examining the count of the number of violations of the triangle 325  
 326 inequality that involve the query shape and the database shapes. In Fig. 6(a), 326  
 327 the curve shows the number of triangle inequality violations after each iteration 327  
 328 of our distance learning algorithm. The number of violations is reduced signifi- 328  
 329 cantly after the first few hundred iterations. We cannot expect the number of 329  
 330 violations to be reduced to zero, since cognitively motivated shape similarity may 330  
 331 sometimes require triangle inequality violations [10]. Observe that the curve in 331

332 Fig. 6(a) correlates with the plot of differences  $\|f_{t+1} - f_t\|$  as a function of  $t$  332  
 333 shown in (b). In particular, both curves decrease very slow after about 1000 it- 333  
 334 erations, and at 5000 iterations they are nearly constant. Therefore, we selected 334  
 335  $T = 5000$  as our stop condition. Since the situation is very similar in all our 335  
 336 experiments, we always stop after  $T = 5000$  iterations. 336



337 (a) (b)  
 338 **Fig. 6.** (a) The number of triangle inequality violations per iteration. (b) Plot of dif-  
 339 ferences  $\|f_{t+1} - f_t\|$  as a function of  $t$ .

337 Besides MPEG-7, We also present experimental results on the Kimia Data 337  
 338 Set [29]. The database contains 99 shapes grouped into nine classes. As the 338  
 339 database only contains 99 shapes, we calculate the affinity matrix based on all 339  
 340 of the shape in the database. The parameters used to calculate the affinity matrix 340  
 341 are:  $C = 0.25$  and the neighborhood size is  $K = 4$ . We changed the neighborhood 341  
 342 size, since the data set is much smaller than the MPEG-7 data set. The retrieval 342  
 343 results are summarized as the number of shapes from the same class among the 343  
 344 first top 1 to 10 shapes (the best possible result for each of them is 99). Table 2 344  
 345 lists the numbers of correct matches of several methods. Again we observe that 345  
 346 our approach could improve IDSC significantly, and it yields a nearly perfect 346  
 retrieval rate.

**Table 2.** Retrieval results on Kimia Data Set [29]

Algorithm	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
SC [29]	97	91	88	85	84	77	75	66	56	37
Shock Edit [29]	99	99	99	98	98	97	96	95	93	82
IDSC+DP [3]	99	99	99	98	98	97	97	98	94	79
Shape Tree [5]	99	99	99	99	99	99	99	97	93	86
<b>our method</b>	99	99	99	99	99	99	99	99	97	99

347

347

## 348 6.2 Improving Visual Part Shape Matching 348

349 Besides the inner distance shape context [3], we also demonstrate that the 349  
 350 proposed approach can improve the performance of visual parts shape similarity [4]. 350

351 We select this method since it is based on very different approach than IDSC. 351  
 352 In [4], in order to compute the similarity between shapes, first the best possible 352  
 353 correspondence of visual parts is established (without explicitly computing the 353  
 354 visual parts). Then, the similarity between corresponding parts is calculated and 354  
 355 aggregated. The settings and parameters of our experiment are the same as for 355  
 356 IDSC as reported in the previous section except we set  $C = 0.4$ . The accuracy 356  
 357 of this method has been increased from 76.45% to 86.69% on the MPEG-7 data 357  
 358 set, which is more than 10%. This makes the improved visual part method one 358  
 359 of the top scoring methods in Table 1. A detailed comparison of the retrieval 359  
 360 accuracy is given in Fig. 4(b). 360

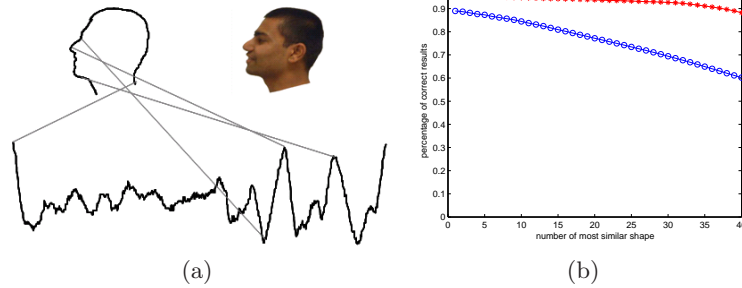
### 361 6.3 Improving Face Retrieval 361

362 We used a face data set from [30], where it is called *Face (all)*. It addresses a 362  
 363 face recognition problem based on the shape of head profiles. It contains several 363  
 364 head profiles extracted from side view photos of 14 subjects. There exist large 364  
 365 variations in the shape of the face profile of each subject, which is the main reason 365  
 366 why we selecte this data set. Each subject is making different face expressions, 366  
 367 e.g., talking, yawning, smiling, frowning, laughing, etc. When the pictures of 367  
 368 subjects were taken, they were also encouraged to look a little to the left or 368  
 369 right, randomly. At least two subjects had glasses that they put on for half of 369  
 370 their samples. A few sample pictures are shown in Fig. 7. 370



Fig. 7. A few sample image of the *Face (all)* data set.

371 The head profiles are converted to sequences of curvature values, and normal- 371  
 372 ized to the length of 131 points, starting from the neck area. Fig. 8(a) illustrates 372  
 373 how the profiles are transformed to sequences of curvature. The data set has 373  
 374 two parts, training with 560 profiles and testing with 1690 profiles. The train- 374  
 375 ing set contains 40 profiles for each of the 14 classes. As reported on [30], we 375  
 376 calculated the retrieval accuracy by matching the 1690 test shapes to the 560 376  
 377 training shapes. We used a dynamic time warping (DTW) algorithm with warp- 377  
 378 ing window [31] to generate the distance matrix, and obtained the 1NN retrieval 378  
 379 accuracy of 88.9%. By applying our distance learning method we increased the 379  
 380 1NN retrieval accuracy to 95.04%. The best reported result on [30] has the first 380  
 381 nearest neighbor (1NN) retrieval accuracy of 80.8%. The retrieval rate, which 381  
 382 represents the percentage of the shapes from the same class (profiles of the same 382  
 383 subject) among the first k-nearest neighbors, is shown in Fig. 8(b). The accu- 383  
 384 racy of the proposed approach is stable, although the accuracy of DTW decreases 384  
 385 significantly when k increases. In particular, our retrieval rate for k=40 remains 385  
 386 high, 88.20%, while the DTW rate dropped to 60.18%. Thus, the learned dist- 386  
 387 ance allowed us to increase the retrieval rate by nearly 30%. Similar to the 387  
 388 above experiments, the parameters for the affinity matrix is  $C = 0.4$  and  $K = 5$ . 388



**Fig. 8.** (a) Conversion of the head profile to a curvature sequence. (b) Retrieval accuracy of DTW (blue circles) and the proposed method (red stars).

## 7 Conclusion and Discussion

In this work, we adapted a graph transductive learning framework to learn new distances with the application to shape retrieval. The key idea is to replace the distances in the original distance space with distances induced by geodesic paths in the shape manifold. The merits of the proposed technique have been validated by significant performance gains over the experimental results. However, like semi-supervised learning, if there are too many outlier shapes in the shape database, the proposed approach cannot improve the results. Our future work will focus on addressing this problem. We also observe that our method is not limited to 2D shape similarity but can also be applied to 3D shape retrieval, which will also be part of our future work.

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